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| FORM PTO-1390 (REV. 11-2000) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER BIO 0753 PA |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/720314 |
| INTERNATIONAL APPLICATION NO. PCT/EP99/04079 | INTERNATIONAL FILING DATE (14.06.1999) | PRIORITY DATE CLAIMED June 23, 1998 April 15, 1999 | |
| TITLE OF INVENTION METHODS FOR TRANSFERRING THE CAPABILITY TO PRODUCE A NATURAL PRODUCT INTO A SUITABLE PRODUCTION HOST | | | |
| APPLICANT(S) FOR DO/EO/US Donadio et al | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | | |
| <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mail filing</p> | | | |

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| U.S. APPLICATION NO. (if known) (see 37 CFR 1.4) | | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER |
| 09/720914 | | PCT/EP99/04079 | B10 0753 PA |
| <p>21 <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> | | <p>CALCULATIONS PTO USE ONLY</p> | |
| <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> | | \$ 860.00 | |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> | | \$ -0- | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | 35 - 20 = | 15 | x \$18.00 |
| Independent claims | 1 - 3 = | 0 | x \$80.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | 0 | + \$270.00 |
| <p>TOTAL OF ABOVE CALCULATIONS =</p> | | \$ 1,130.00 | |
| <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> | | + \$ 565.00 | |
| <p>SUBTOTAL =</p> | | \$ 565.00 | |
| <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> | | \$ -0- | |
| <p>TOTAL NATIONAL FEE =</p> | | \$ 565.00 | |
| <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> | | + \$ -0- | |
| <p>TOTAL FEES ENCLOSED =</p> | | \$ 565.00 | |
| | | Amount to be refunded: | \$ |
| | | charged: | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>565.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | |
| <p>SEND ALL CORRESPONDENCE TO: Susan M. Luna Killworth Gottman Hagan & Schaeff, L.L.P. One South Main Street, Suite 500 One Dayton Centre Dayton, Ohio 45402-2023</p> | | <p><u>Susan M. Luna</u> SIGNATURE</p> <p><u>Susan M. Luna</u> NAME</p> <p>38,769 REGISTRATION NUMBER</p> | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant : Danadio et al
Title : METHODS FOR TRANSFERRING THE CAPABILITY TO
PRODUCE A NATURAL PRODUCT INTO A SUITABLE
PRODUCTION HOST
Docket : BIO 0753 PA

BOX PCT
Assistant Commissioner
for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to examination of the above-identified patent application, please amend the application as follows:

IN THE SPECIFICATION

Page 21, delete line 4, and insert therefor - -accommodating fragments of chromosomal DNA as large as 150 kb and of- -.

IN THE CLAIMS

Please cancel claims 1-35 and insert the following new claims 36-70.

36. A method for transferring the production of a natural product from an actinomycete donor organism that is the original producer of said natural product to a different actinomycete host, where this transfer is achieved by means of an *E. coli-Streptomyces* Artificial Chromosome that carries a gene cluster governing the biosynthesis of said natural product derived from said donor organism characterized in that it comprises the steps of:

(a) isolating large fragments of chromosomal DNA of the actinomycete donor organism of a size which encompasses the gene cluster that directs the biosynthesis of the natural product;

- (b) constructing a suitable vector capable of accommodating fragments of chromosomal DNA as large as 150 kb and of introducing and stably maintaining said large fragments of DNA into an *E. coli* host;
- (c) constructing an *E. coli-Streptomyces* Artificial Chromosome by inserting said large fragments of chromosomal DNA of step (a) into the above said vector of step (b) and selecting the *E. coli-Streptomyces* Artificial Chromosome comprising the entire gene cluster construct that directs the biosynthesis of the above said natural product;
- (d) transforming an actinomycete host different from the donor actinomycete host with the *E. coli-Streptomyces* Artificial Chromosome of step (c) that carries the gene cluster governing the biosynthesis of said natural product wherein the actinomycete host carries a region which is specific for the integration of the *E. coli-Streptomyces* Artificial Chromosome.

37. A process as in claim 36 wherein the large fragments of genomic DNA of the actinomycete donor organism of step (a) are obtained by partial digestion of the chromosomal DNA of said actinomycete donor organism.

38. A process as in claim 36 wherein the large fragments of the genomic DNA of step (a) are obtained by reconstruction through interplasmid homologous recombination from a set of pre-existing smaller segments of partially overlapping DNA cloned from the genome of the actinomycete donor organism, which set of segments encompass the entire gene cluster that directs the biosynthesis of said natural product.

39. A process as in claim 36 wherein the suitable vector of step (b) contains an *int-attP* region, where the *int* insert preferably derives from phage ΦC31.

40. A process as in claim 39 wherein the suitable vector of step (b) is the plasmid pPAC-S1 or pPAC-S2 (Fig. 2) further characterized by the following features:

- a) ability to accommodate DNA inserts up to 300 kb,
- b) low copy number in *E. coli* for increased stability,
- c) ease of propagation because of the inclusion of the pUC19 stuffer segment,
- d) presence of BamHI, XbaI or ScaI cloning sites, with positive selection inserts for resistance to sucrose,
- e) T7 and SP6 promoters flanking the cloning site,
- f) resistance to kanamycin in *E. coli*,
- g) resistance to thiostrepton and site specific integration at the Φ C 31 *attB* site in *Streptomyces* conferred by the *int-tsr* cassette,
- h) pPAC-S1 carries the *int* gene of the *int-tsr* cassette adjacent to the *sacB* gene while pPAC-S2 carries the *tsr* gene of *tsr int-tsr* cassette adjacent to the *sacB* gene.

41. A process as in claim 36 wherein the *E. coli-Streptomyces* Artificial Chromosome is the plasmid pPAC-S1 or pPAC-S2 modified by insertion of the entire gene cluster that directs the biosynthesis of the natural product.

42. A process as in claim 39 wherein the integration of the *E. coli-Streptomyces* Artificial Chromosome into the actinomycete host occurs at the *attB* site carried by said actinomycete host and is mediated by the *int-attP* function specified by the *E. coli-Streptomyces* Artificial Chromosome.

43. A process as in claim 36 wherein the actinomycete host is a *streptomyces lividans* strain.

44. An actinomycete production host that is constructed from an actinomycete host by transfer of a cluster from a donor organism according to claim 36.

45. An actinomycete production host as in claim 44 that is *Streptomyces lividans* strain.
46. An *E. coli-Streptomyces* Artificial Chromosome that carries a gene cluster directing the biosynthesis of a natural product obtainable according to step (a) to (c) of claim 36.
47. An *E. coli-Streptomyces* Artificial Chromosome of claim 46 that contains an *int-attP* region and a selection marker.
48. An *E. coli-Streptomyces* Artificial Chromosome of claim 47 that is the vector pPAC-S1 modified by insertion of a gene cluster directing the biosynthesis of a natural product.
49. An *E. coli-Streptomyces* Artificial Chromosome of claim 47 that is the vector pPAC-S2 modified by insertion of a gene cluster directing the biosynthesis of a natural product.
50. An *E. coli-Streptomyces* Artificial Chromosome as in claim 46 that is the construct PAD6, which is the vector pPAC-S1 modified by insertion of the gene cluster of *P. rosea* characterized in that:
 - a) it carries an insert of about 90-kb from the genome of *P. rosea*, where the left and right ends of such insert are delimited by the sequences SEQIDN. 9 and SEQIDN. 10, respectively, cloned into said vector pPAC-S1 of claim 40,
 - b) after digestion with *Eco*RI yields fragments of 47, 46, 8.1, 4.6, 2.2, 0.5 and 0.1 kb,
 - c) after digestion with *Dra*I yields fragments of 102, 4.2 and 0.6 kb.
51. An actinomycete production host as in claim 44 that carries the construct PAD6.
52. An actinomycete production host as in claim 51 that is a *Streptomyces lividans* strain.

53. An *E. coli-Streptomyces* Artificial Chromosome as in claim 46 that carries a gene cluster from *Planobispora rosea*.
54. An actinomycete production host as in claim 44 that carries a gene cluster from *Planobispora rosea*.
55. An actinomycete production host as in claim 44 that contains the *E. coli-Streptomyces* Artificial Chromosome carrying the rapamycin gene cluster.
56. An actinomycete production host as in claim 55 that is a *Streptomyces lividans* strain.
57. An *E. coli-Streptomyces* Artificial Chromosome as in claim 46 that carries the rapamycin gene cluster.
58. An *E. coli-Streptomyces* Artificial Chromosome as in claim 57 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster directing the biosynthesis of rapamycin.
59. An actinomycete production host as in claim 44 that contains the *E. coli-Streptomyces* Artificial Chromosome carrying the erythromycin gene cluster.
60. An actinomycete production host as in claim 59 that is *Streptomyces lividans* strain.
61. An *E. coli-Streptomyces* Artificial Chromosome as in claim 46 that carries the erythromycin gene cluster.

62. An *E. coli-Streptomyces* Artificial Chromosome as in claim 61 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster directing the biosynthesis of erythromycin.
63. An actinomycete production host as in claim 44 that contains the *E. coli-Streptomyces* Artificial Chromosome that carries the rifamycin gene cluster.
64. An actinomycete production host as in claim 63 that is a *Streptomyces lividans* strain.
65. An *E. coli-Streptomyces* Artificial Chromosome as in claim 46 that carries the rifamycin gene cluster.
66. An *E. coli-Streptomyces* Artificial Chromosome as in claim 65 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster that direct the biosynthesis of rifamycin.
67. A process for the production of a natural product by cultivating an actinomycete strain capable of producing said natural product in the presence of nutrient medium, isolating and purifying said natural product, characterized in that the actinomycete strain capable of producing said natural product is an actinomycete production host obtained according to the method of claim 36.
68. A process as in claim 67 wherein the actinomycete production host is a *Streptomyces lividans* or *Streptomyces coelicolor* strain.

69. A process as in claim 67 wherein the actinomycete production host is selected from the group consisting of:

a host carrying a gene cluster from *Planobispora rosea*;

a host containing an *E. coli*-*Streptomyces* Artificial Chromosome carrying the rapamycin gene cluster; and

a *Streptomyces lividans* strain.

70. A process as in claim 67 for the production of a natural product selected from the group consisting of rapamycin, erythromycin and rifamycin.

REMARKS

This amendment is being made to place the claims in better form for examination and to eliminate multiple claim dependency.

Respectfully submitted,

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METHODS FOR TRANSFERRING THE CAPABILITY TO PRODUCE A NATURAL PRODUCT INTO A SUITABLE PRODUCTION HOST.

5 1. FIELD OF THE INVENTION

The present invention relates to a novel approach for drug discovery. More particularly, the invention relates to a system for improving the process of lead optimization and development of compounds, when these compounds are natural 10 products produced by microorganisms belonging to the order *Actinomycetales* or chemical derivatives of these compounds. The invention relates to a system for transferring the capability to produce a natural product from a microorganism belonging to the order *Actinomycetales* into a 15 defined host, where said natural product can be optimally produced and its biosynthetic pathway suitably modified.

2. BACKGROUND ART

Natural products are complex molecules with important uses 20 in medicine. Examples include: antibacterial agents, such as erythromycin, teicoplanin, tetracycline; antitumor compounds, such as daunorubicin; antihelmintic compounds, such as avermectin; immunosuppressive agents, such as cyclosporin and FK506; antifungal compounds, such as 25 amphotericin and nystatin; etc. Natural products are produced as secondary metabolites by a wide range of living organisms. Although many secondary metabolites have been identified, there remains the need to obtain novel structures with new activities or enhanced properties. 30 Current methods of obtaining such molecules include screening of natural isolates and chemical modification of existing ones. Random screening of natural products from

disparate sources has resulted in the discovery of many important drugs and is still employed for seeking for novel activities. This process, which consists in exposing a miniaturized biological system to tens or hundreds of 5 thousands of different compounds, in order to find those few that exhibit a desired property, is designated high throughput screening, or HTS.

One of the used sources widely in HTS is a collection of natural products produced by small-scale fermentation of 10 newly isolated microorganisms. A natural product may have one or more potential therapeutic properties, including but not limited to antibacterial, antifungal, antiviral, antitumor, immunomodulating or other pharmacological properties. Natural products have long constituted a 15 source of interesting, structurally original and "imaginative" molecules endowed with potent biological activities. In addition, recent observations indicate that only a small fraction of the microbial flora present in environmental samples, ranging from 0.01 to 1% according to 20 the estimates, is related to known species. Microorganisms belonging to the order *Actinomycetales* represent thus far the group of producers unsurpassed for chemical and biological diversity. However, more than 15,000 natural products produced by microorganisms have been described, 25 and the chances of finding new structures are relatively small, unless efforts are directed towards those classes of microorganisms that have been little exploited in the past. Poorly characterized actinomycete genera can thus 30 constitute a useful source of novel structures. With proper methodologies, unusual genera can be isolated from environmental samples and some of these isolates will produce interesting activities. These could either represent completely new entities, or known molecules

acting on a novel target or in a previously unreported way. Many of these products will have original structures and potent biological activities. However, newly discovered secondary metabolites will be produced for the most part by 5 microorganisms which have been isolated for the characteristic of being unusual and selected for their ability to produce a given bioactivity. Consequently, little will be known about the best conditions for growth, productivity and storage. Often the microorganism does not 10 produce a single bioactive compound, and other, unrelated activities must be completely removed for a meaningful evaluation of the properties of the lead compound. Furthermore, rarely is a secondary metabolite produced as a single, bioactive molecule, but is often present as a 15 "complex" of several, closely related compounds, only some of which may possess the desired biological or chemical properties. Therefore, physiological conditions, such as nutrient and cofactor supply, that allow obtaining a "controlled" complex need to be established empirically by a 20 trial and error approach. Finally, the natural product may need structural modification, and this can be achieved only by chemical means. In essence, the scarce knowledge available on the physiology and genetics of the producing strain will severely hamper the lead optimization and 25 development processes.

Chemical modification of preexisting natural products has been successfully employed to generate derivatives of natural products, but it still suffers from practical limitations to the type of compounds obtainable. Many 30 natural products are often structurally complex molecules, with relatively large molecular weights. Due to their structural complexity, total synthesis of natural products is often prohibitive for the number of necessary steps and

the overall yield; furthermore, selective modification of a natural product can often be efficiently performed only on limited portions of the molecule. This difficulty of generating structural derivatives by conventional medicinal 5 chemistry slows down the process of lead optimization and supply. Microorganisms employ intricate biosynthetic machineries to make natural products: for example, synthesis of the macrolide antibiotic erythromycin, a secondary metabolite in the medium-range structural 10 complexity, requires the participation of over 40 different enzymatic activities (Katz and Donadio, 1995, Macrolides, in Genetics and Biochemistry of Antibiotic Production, Vining and Stuttard eds., Butterworth-Heinemann, Boston CT, p. 385-420). Biosynthetic pathways can often be redirected 15 through manipulation of the fermentation conditions or of the biosynthesis genes, in order to produce desired analogs of the original structure. The availability of genes involved in the formation of secondary metabolites has been exploited for the formation of derivatives of natural 20 products obtained after genetic manipulation of the producing organism (Hopwood, 1997, Chem. Rev. 99:0-39). These manipulations have resulted in novel molecules, many of which would be extremely hard if not impossible to produce by chemical derivatization of the parent compound. 25 The obvious economical and environmental benefits resulting from the formation of the desired structure in one fermentation step constitute an additional stimulus for the application of pathway engineering for the rational design of novel structures. The compounds obtained in this way are 30 amenable evaluation of their biological properties as well as being substrates for further derivatization by chemical or biological means.

In summary, the supply of a natural product produced

by a newly discovered microorganism, the optimization of the complex composition, and the process of lead optimization will all benefit from a detailed knowledge of the genetics and physiology of the producing strain. The 5 present invention describes a general method for transferring the capability to produce any secondary metabolite from the original actinomycete producer to an established and genetically manipulatable production host. The general concept of the invention is illustrated in Fig. 10 1. Conditions for optimal growth, metabolite production and maintenance need therefore to be developed for one host. In addition, the availability of the cloned genes in a genetically manipulatable and well characterized host allows the utilization of all the genetic tools developed 15 for these strains for the creation of novel derivatives of the natural product after genetic intervention.

3. SUMMARY OF THE INVENTION

The present invention provides a system for producing and 20 manipulating natural products produced by a large group of bacteria for the purpose of drug discovery, development and production. The method of the invention transfers the ability to produce a secondary metabolite from an actinomycete that is the original producer of the natural 25 product, to another production host that has desirable characteristics.

In one embodiment, the invention involves the construction of a library from a donor organism, the producer of a natural product, in an Artificial Chromosome 30 that can be shuttled between a convenient, neutral cloning host, such as the bacterium *Escherichia coli*, and a production host, such as the actinomycetes *Streptomyces lividans* or *Streptomyces coelicolor*. The clones directing

the synthesis of the natural product are identified in said library, transferred into the production host where said natural product is synthesized.

In another embodiment, the invention involves the reconstruction of a large segment that directs the synthesis of a natural product, starting from smaller DNA fragments cloned from the genome of a donor organism. This reconstruction occurs in an Artificial Chromosome that can be maintained in a convenient neutral host, such as the bacterium *Escherichia coli*, and subsequently transferred into an actinomycete production host. The reconstructed genomic segment in the Artificial Chromosome is transferred into the production host where said natural product is synthesized.

The present invention also relates to *Escherichia coli*-*Streptomyces* Artificial Chromosomes, recombinant DNA vectors useful for shuttling the genetic information necessary to synthesize a given natural product between a donor actinomycete producer and a production host.

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3.1 DEFINITIONS

As used herein, the following terms will have the meaning indicated.

An "Escherichia coli-Streptomyces Artificial Chromosome", or ESAC, is a recombinant DNA construct that can maintain very large DNA inserts in an *Escherichia coli* host and that can be introduced and maintained in an actinomycete production host.

An "Escherichia coli-Streptomyces Artificial Chromosome" library, or ESAC library, is a library of different recombinant constructs carrying very large DNA inserts that can be maintained in an *Escherichia coli* host

and introduced and maintained in an actinomycete production host.

A pESAC is a vector used to construct an "*Escherichia coli-Streptomyces Artificial Chromosome*" or an ESAC library.

5 A "natural product" is a secondary metabolite made by a microorganism through a series of biosynthetic steps. This natural product may or may not have any useful biological activity.

10 A "complex" is the mixture of related natural products with similar properties and biological activity that are often produced by the same biosynthetic pathway.

A "donor organism" is the original producer of a natural product, where the synthesis of said compound is governed by a defined number of genetic elements.

15 A "gene cluster", a "cluster", a "biosynthesis cluster" all designate a contiguous segment of the donor organism's genome that contains all the genes required for the synthesis of a natural product.

20 A "production host" is a microorganism where the formation of a natural product is directed by a gene cluster derived from a donor organism.

25 As used in the present invention, the following abbreviations are employed: °C (Celsius degree); h (hour); min (minute); kb (kilobase); µl (microliter); ml (milliliter); mm (millimeter); mg (milligram); µg (microgram); ng (nanogram); M (molar); Mb (megabase); UV (ultraviolet); kV (kilovolt); Ω (Ohm); mFa (millifaraday).

30 In addition, the following abbreviations are used: Ab, antibiotic; Ap, ampicillin; attB, chromosomal attachment site; attP, phage or plasmid attachment site; bp, base pair; ca., circa (i.e. "about"); Cm, chloramphenicol; E.,

Escherichia; ESAC, *E. coli*-*Streptomyces* Artificial Chromosome; GC, guanosine + cytosine; HTS, high throughput screening; Km, kanamycin; *int*, integrase encoding gene; LB, Luria Broth; LMP, low melting point; *P.*, *Planobispora*; 5 PCR, polymerase chain reaction; PFGE, Pulsed Field Gel Electrophoresis; ^R, resistance; rpm, rounds per minute; *S.*, *Streptomyces*; ^S, sensitive; *Sac.*, *Saccharopolyspora*; *sacB*, gene conferring sensitivity to sucrose; SDS, sodium dodecyl sulfate; Tc, tetracycline; TE, TrisHCl EDTA buffer; *tet*, 10 tetracycline resistance gene; Th, thiostrepton; *ts*, temperature sensitive; *tsr*, thiostrepton resistance gene; U, units; vol, volume; wt, weight; YEME, yeast extract malt extract medium.

15 4. BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Scheme of the invention. The general concept of the invention, whereby the gene cluster required for the synthesis of a natural product in a donor organism is established as an ESAC in an *Escherichia coli* host, and 20 then transferred into a desired production host, where it integrates into the chromosome and directs production of the secondary metabolite. The hexagon represents the natural product, the twisted thin line the bacterial chromosomes, and the thick line the desired gene cluster. 25 The pESAC episome is represented by a circle.

Figure 2. *E. coli*-*Streptomyces* Artificial Chromosome vectors. Vectors pPAC-S1 and pPAC-S2 differ solely for the orientation of the *int-ts*r cassette. Relevant features of the vectors are illustrated. *Kmr* indicates resistance to 30 kanamycin; *sacB* indicates sensitivity to sucrose. Suitable cloning sites are shown as: B, *Bam*HI; S, *Scal*; X, *Xba*I. The replicating function of bacteriophage P1 are indicated by

the thick bars.

Figure 3. General scheme of the invention, top-down approach. High molecular weight DNA from the donor organism is cloned into a pESAC. The resulting library in *E. coli* is screened with the required probes, and the relevant ESACs are identified. These are introduced into the desired production host strain, where they integrate site-specifically into the host chromosome. Symbols and abbreviations are as in Fig. 1.

Figure 4. General scheme of the invention, bottom-up approach. A cosmid library is prepared with DNA from the donor organism and screened with the required probes. The overlapping inserts from the positive cosmids, which constitute the correct contig, are assembled into a pESAC via homologous recombination in *E. coli*. The reconstructed ESAC is introduced into the desired production host, where it integrates site-specifically into the host chromosome. Symbols and abbreviations are as in Fig. 1.

Figure 5. Scheme of assemblage. The figure illustrates a hypothetical genomic segment from a donor organism that is covered by the inserts from three overlapping clones. The relevant fragments A and D, which denote the ends of the segment, and B and C, which represent regions of overlap, are indicated with their relative orientation (thick side on the fragment rectangle). The bottom part illustrates the reconstructed ESAC.

Figure 6. Constructs required for cluster assemblage. The plasmids indicated are generated by routine in vitro DNA manipulations. Fragments A, B, C and D are as in Fig. 5. Fragment pairs are in this example separated by a marker, indicated as Ab^R for antibiotic resistance. Selective markers present on the two compatible replicons

are, as an example: Cm^R and Km^R .

Figure 7. Interplasmid insert exchange. Each of the Cm^R derivatives, as of Fig. 6, is introduced in the same *E. coli* cell as the cognate clone of Fig. 5 (for example a 5 cosmid that carries a Km^R marker). Formation and then resolution of the cointegrate leads to the transfer of the cosmid's insert, indicated here by a looping line, in the Cm^R replicon.

Figure 8. Sequel of assembling steps. A series of 10 interplasmid cointegration and resolution events is conducted. Only the growing ESAC is indicated. The starting construct (Fig. 6) is recombined with plasmid pAB2 (Fig. 7), leading to the insertion of the segment flanked by fragments A and B. Next, the Ab^R marker from pBC1 (Fig. 6) 15 is introduced between fragments B and C, and subsequently replaced by the insert from pBC2 (Fig. 7). Finally, the Ab^R marker from pCD1 (Fig. 6) is introduced between fragments C and D, and subsequently replaced by the insert from pCD2 (Fig. 7).

Figure 9. A gene cluster from *Planobispora rosea*. The 20 extension of a gene cluster from *P. rosea* ATCC 53733 is reported, together with the cosmids pRP16, pRP31 and pRP58. The fragments A, B, C and D used for assemblage are highlighted. Restriction sites are abbreviated as: M, *Sma*I; 25 P, *Pst*I; S, *Sst*I.

Figure 10. Site-specific integration of an ESAC. PFGE analysis of *S. lividans* ZX7 transformed with ESAC-70. Lanes 1 and 2: *S. coelicolor* M145; lane 3: *S. lividans* ZX7 DNA; lane 4: ZX7 *attB*::ESAC-70 DNA, colony 1; lane 5: ZX7 30 *attB*::ESAC-70 DNA, colony 2; lane 6: 50-kb ladder, size marker. All DNAs in lanes 1-5 are digested with *Dra*I. Conditions for PFGE are: 200 Volts, 70 s switching for 7 15

h, 120 s switching for 11 h.

Figure 11. Characterization of *S. lividans* transformants. Southern hybridization of *S. lividans* *attB::PAD6*, grown with (lane 1) or without (lane 2) thiostrepton. *P. rosea* DNA is shown as control (lane 3). Lane 4 contains 1-kb ladder. All DNAs are digested with *BamHI* and probed with labeled PAD6.

5. DETAILED DESCRIPTION OF THE INVENTION

10 In its broadest sense, the present invention entails a general procedure for constructing a *Streptomyces* host producing any natural product after selective transfer of the relevant genes from the original actinomycete producer, the donor strain. This general procedure is outlined in
15 Fig. 1. The present invention can be applied with only limited information on the structure of the natural product and very little knowledge of the original producer's genetics. The present invention has a substantial impact on the process of drug discovery involving natural products or
20 their structural derivatives. The transfer of the producing capability to a well characterized host can substantially improve several portions of the process of lead optimization and development: the titer of the natural product in the producing strain can be more effectively
25 increased; the purification of the natural product can be carried out in a known background of possible interfering activities; the composition of the complex can be more effectively controlled; altered derivatives of the natural product can be more effectively produced through
30 manipulation of the fermentation conditions or by pathway engineering. In order to better understand the value of the present invention, a brief description is reported below of

the current methods for optimizing the productivity of the producing strain, for purifying a natural product, for controlling the composition of a complex, and for producing derivatives of a natural product.

5 The production of a natural product is controlled by several mechanisms, few of which have been established in detail. Generally, the level of production of a natural product depends on the composition of the growth medium; on the presence of appropriate precursors or on the absence of 10 specific inhibitors; on the expression level and timing of genes controlling the biosynthetic pathway and competing routes; and on the level and specific activity of key enzymes in the pathway. Because of this complexity, the productivity of the original strain is usually increased by 15 an empirical process, which may include, among other things, one or more of the following steps: strain purification, selection of phenotypic variants arising spontaneously or after mutagenic treatment of the strain, variation in the fermentation medium or in the fermentation 20 parameters; genetic engineering of the producing strain. Fundamental knowledge about the physiology of the producing strain and the variables affecting titer must be achieved for an effective improvement of productivity. This knowledge is very scant in a newly identified producer 25 strain.

During the discovery and development phase, sufficient quantities of a natural product must be available for an evaluation of its properties and/or for the generation of analogs. Because of its uniqueness, a specific purification 30 process must be developed for each natural product. However, it is highly desirable to have the natural product as free as possible of compounds that may interfere with the biological activity of the molecule. Contaminating

impurities must be characterized analytically and biologically. In a poorly characterized producer, little information is available on the relevance of contaminating impurities.

5 A natural product may be produced by a microorganism as a complex of a few or tens of molecules with minor structural differences, designated congeners. Although most of the congeners are usually biologically active, only one or a few may represent the desired product: for example,
10 one congener may be substantially more active than the others; it may possess better physico-chemical properties; or it may be a better substrate for chemical modification. The composition of a complex can be somehow controlled by intervening on the fermentation parameters. However, the
15 most effective way is usually the altered expression of selected genes by genetic engineering (e.g. Sezonov et al., 1997, *Nature Biotechnol.* 15:349-353).

Chemical modification of natural products represents the most commonly used means of obtaining novel structures.
20 This approach has been successfully employed, but it still suffers from practical limitations to the number and type of compounds obtainable. The structural complexity of many natural products makes their total synthesis often too lengthy and expensive to be of any practical use. This same
25 structural complexity, with either the presence of several closely related functional groups or their absence, limits modification of a natural product to selected portions of the molecule. Methods of combinatorial synthesis need an initial scaffold as the starting building block, and this
30 can be often generated only through a low yield degradation of the natural product. However, derivatives of natural products that would be very hard if not impossible to produce by chemical means have been obtained after genetic

alteration of the biosynthetic pathway. Examples include the introduction of additional genetic information (Epp et al., 1989, Gene 85:293-301), the targeted inactivation of selected genes or portion thereof (Donadio et al., 1993, 5 Proc. Natl. Acad. Sci. USA 90:7119-7123), the "mixing and matching" of genes or portions thereof from different pathways (McDaniel et al., 1994, Nature 375:549-554).

All the above activities are important for the process of lead optimization and for the development of selected 10 lead structures. They can all benefit, to different extent, from a detailed knowledge of the physiology of the producing strain, and from the possibility of genetically manipulating it. The process by which a given organism is genetically manipulated in order to alter the type, quality 15 or quantity of a natural product is referred to as pathway engineering. The ability to perform pathway engineering in a newly isolated microorganism producing a bioactive molecule with promising characteristics can therefore considerably expedite the optimization of a lead structure 20 and the development process. Pathway engineering can be schematized as a sequel of three steps: a) isolation of the genes of interest; b) performing on selected gene(s) the manipulations required by the specific objective; and c) introduction of the modified gene(s) in suitable form in an 25 appropriate host.

Isolation of the genes of interest from most actinomycetes can be achieved quite easily. The genes for primary metabolism are usually well conserved, and they can be easily accessed in any microorganism by using suitable 30 hybridization probes or by the PCR. The genetic elements governing the biosynthesis of the major classes of secondary metabolites have been also described, and many genes can similarly be identified. Since natural product

biosynthesis is governed by clusters, one needs to identify just a few genes in order to have them all. However, synthesis of the vast majority of natural products requires a considerable extent of genetic information. For example, 5 biosynthesis of the natural products erythromycin (an antibiotic), avermectin (an antihelmintic agent) and rapamycin (an immunosuppressant) requires 55, 90 and 95 kb, respectively, of genetic information (Katz and Donadio, 1993, *Annu. Rev. Microbiol.* 47:875-912; MacNeil, 1995, 10 *Avermectins*, in *Genetics and Biochemistry of Antibiotic Production*, Vining and Stuttard eds., Butterworth-Heinemann, Boston CT, p.421-442; Schwecke et al., 1995, *Proc. Natl. Acad. Sci. USA* 92:7839-7843). Other natural products may require even larger extent of genetic 15 information. Therefore, in order to isolate an entire cluster in a single piece, cloning vectors capable of accepting and maintaining large DNA segments are necessary.

The manipulation of the isolated genes is generally best performed in a convenient cloning host, such as *E. coli*. Manipulations relevant to pathway engineering can 20 include some or all of the following: site directed mutagenesis, gene inactivation, gene fusions, modification of regulatory sequences, etc. Techniques for the in vitro manipulation of DNA and for the propagation of the mutated 25 alleles in *E. coli* are well developed and can be applied to DNA from virtually any source (Sambrook et al., 1989, *In Molecular Cloning: A laboratory Manual*, 2nd edn, Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press).

30 The final step in pathway engineering requires the introduction of modified or heterologous gene(s), in suitable form, in a strain where these genes can be appropriately expressed. This strain is often the strain

producing the natural product whose quantity, quality or type one wants to alter. The genes of interest must be carried on appropriate vectors: according to the particular objective of pathway engineering, one may need, among 5 others, vectors that can be stably maintained as single or multicopy episomes; that can insert into the host chromosome at a fixed location; that allow replacement of an endogenous gene with an *in vitro* modified allele; that allow deletion of selected genes from the host chromosome. 10 In addition, for each strain one must have means for introducing heterologous DNA and selecting for its presence. Therefore, in order to genetically manipulate a given producer, one must establish conditions for rendering the bacterial cell capable of receiving incoming DNA; for 15 selecting the incoming DNA; and develop vectors and methodologies for the various types of manipulations exemplified above. Low- and high copy-number, integrative, non-replicating vectors must be developed with appropriate selection markers. Thus, for each producing strain, 20 specific gene transfer tools and conditions must be developed, starting in most cases from extremely poor knowledge about the microorganism. In addition, techniques developed for one species do not necessarily apply to a new species from the same genus, and often not even to a new 25 strain. It is then no wonder that, among the thousands of strains described as producers of interesting natural products, gene transfer systems have been developed only for a limited number of species, which serve either as model organisms for genetic and physiological studies, or 30 produce a commercially important molecule. The present invention provides tools for the general manipulation of any secondary metabolite pathway, and overcomes the difficulties of developing *ad hoc* conditions for a new

producer.

Naive hosts have been shown to produce the appropriate natural product or its intermediate(s) when the relevant DNA was introduced into them (Malpartida and Hopwood, 1984, 5 *Nature* 309:462-464; Hong et al., 1997, *J. Bacteriol.* 179:470-476; Kao et al., 1994, *Science* 265:509-512; McGowan et al., 1996, *Mol. Microbiol.* 22:415-426; Kealey et al., 1998; *Proc. Natl. Acad. Sci. USA* 95:505-509). However, the examples reported thus far represent special cases. Indeed, 10 they include the introduction of relatively small DNA segments into a production host; or the transfer of gene clusters within members of the same bacterial genus; or they have required the careful engineering of specific biosynthesis genes under the control of appropriate genetic 15 elements that direct their expression. Furthermore, the *Streptomyces* vectors currently available have an upper limit of ca. 40 kb (Hopwood et al., 1987, *Methods Enzymol.* 153:116-167).

Until now, it was not established that DNA fragments 20 exceeding 100 kb, derived from the high GC genome of actinomycetes, could be cloned and stably maintained in an *E. coli* host. Nor was any report of the introduction of large DNA segments into a *Streptomyces* host. The unexpected finding described herein is that these cloning tasks can be 25 achieved according to the principles and methodologies of the present invention. Furthermore, the genetic elements required for the synthesis of a natural product in the original producer are genetically stable in a heterologous host, where they can direct the synthesis of the desired 30 molecule. It was also unexpected and unprecedented that this heterologous stability and expression can occur when the donor organism and the production host belong to different bacterial genera.

The present invention rests on the fact that the genes required for the formation of a natural product are found as gene clusters of a defined size; that these gene clusters can be conveniently isolated, manipulated and transferred among different actinomycete strains; that they are expressed in a heterologous host; and on the fact that all the primary metabolite precursors required for the formation of a particular natural product are either produced by selected enzymes encoded by cluster-specific genes, or are present and available in the heterologous host at the time of formation of the natural product. The present invention addresses also the crucial aspect of natural product formation in actinomycetes: i.e. synthesis of many natural products may require over 100 kb of genetic information. To be generally applicable, transferring all the genes necessary for the production of any natural product requires cloning vectors capable of accommodating fragments as large as 150 kb, and possibly more. An object of the present invention is therefore represented by vectors capable of accommodating such large fragments which are also capable of being stably maintained in a suitable microbial host, such as a *Streptomyces* host.

Examples of these vectors are designated with the generic name pESAC. They are derived from bacterial artificial chromosomes (Shizuya et al., 1992, Proc. Natl. Acad. Sci. USA 89:8794-8797; Ioannou et al., 1994, Nature Genet. 6:84-89) and can carry inserts up to 300 kb, or more.

As a general example of the broad applicability of the principles and methodologies described in the present invention, the Examples reported below describe how a convenient *Streptomyces* host can be engineered to carry a large gene cluster in order to produce a desired natural product through the use of an appropriate ESAC. The

exemplary organism chosen as the donor organism is the actinomycete *P. rosea*, belonging to one of the lesser characterized genera of actinomycetes (Goodfellow, 1992, In *The Prokaryotes*, 2nd edn., Balows, Trueper, Dworkin, Harder and Schleifer eds, Springer-Verlag, New York, NY, USA). This organism produces the natural product GE2270 (Selva et al., 1991, *J. Antibiotics* 44:693-701), an antibacterial agent. This particular case therefore describes the general applicability of the present invention, since very little information is available on the donor organism, on its genetics and physiology, and on the gene clusters present in its genome. Further examples described herein illustrate the application of the principles and methodologies of the present invention to other gene clusters described in the literature.

The present invention, relating to a general method for transferring the capability to produce any natural product from the original actinomycete to an established and genetically manipulatable *Streptomyces* host, can be schematized in a series of passages summarized as: 1) design of suitable vectors; 2) construction of a large-insert library in said vectors; 3) selection of the desired clones with appropriate probes; 4) insertion of the selected clones into a convenient *Streptomyces* host; and 5) growth of the recombinant strain under appropriate conditions to produce the natural product.

Actinomycetes produce a large number of natural products with important applications. However, other important classes of microbial producers are known, and newer ones are likely to be discovered in the upcoming years, as more microbial sources are screened for potential new drugs. Important classes of microbial producers include, among others, filamentous fungi, bacilli,

mixobacteria, pseudomonas and cyanobacteria. The series of passages described above can therefore be applied to other important classes of microbial producers, provided that two requisites are met: the synthesis of the desired natural product is governed by a gene cluster; suitable production host(s) exist; and appropriate selective marker(s) and maintenance function(s) are introduced into the Artificial Chromosome.

Furthermore, the series of passages summarized above and described in detail in the Examples, involve the use of a neutral cloning host. This host, as described in the present invention, is the bacterium *Escherichia coli*. In a preferred example of such a host, a high cloning efficiency can be obtained, and many of the analyses of the ESACs can be quickly performed. However, it is evident to one of ordinary skill in this art that any other host that allows high cloning efficiency can be used as neutral cloning host. Additionally, the use of such a host is not a *conditio sine qua non* for the applicability of the present invention. In fact, when it is possible to establish directly a library in a production host, there is no need for an intermediate neutral cloning host.

In summary, the present invention consists of a method for transferring the production of a natural product from an actinomycete donor organism that is the original producer of said natural product to a different actinomycete host, where this transfer is achieved by means of an *E. coli*-*Streptomyces* Artificial Chromosome that carries a gene cluster governing the biosynthesis of said natural product derived from said donor organism. This method comprises the steps of :

(a) isolating large fragments of chromosomal DNA of the actinomycete donor organism of a size which encompasses

the gene cluster that directs the biosynthesis of the natural product;

5 (b) constructing a suitable vector capable of accomodating said large fragments of chromosomal DNA and of introducing and stably maintaining said large fragments of DNA into an *E. coli* host;

10 (c) constructing an *E. coli*-*Streptomyces* Artificial Chromosome by inserting said large fragments of chromosomal DNA of step (a) into the above said vector of step (b) and selecting the *E. coli*-*Streptomyces* Artificial Chromosome comprising the entire gene cluster construct that directs the biosynthesis of the above said natural product;

15 (d) transforming an actinomycete host different from the donor actinomycete host with the *E. coli*-*Streptomyces* Artificial Chromosome of step (c) that carries the gene cluster governing the biosynthesis of said natural product wherein the actinomycete host carries a region which is specific for the integration of the *E. coli*-*Streptomyces* Artificial Chromosome.

20

6. GENERAL METHODS

Plasmids, Bacterial Strains and Growth Conditions

Plasmids pUCBM20, pUCBM21, pBR322 and pUC18 are obtained from Boheringer Mannheim; plasmid pIJ39 and Φ C31 DNA have been described (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK) and are available from prof. David Hopwood, The John Innes Centre, Norwich, UK; plasmid pCYPAC2 has been described (Ioannou et al., 1994, *Nature Genetics* 6:84-89) and is available from prof. Pieter de Jong, Roswell Park Cancer Institute, Buffalo, NY, USA; plasmid pMAK705 has been described (Hamilton, et al., 1989,

J. Bacteriol., 171:4617) and is available from prof. Sidney Kushner, University of Georgia, Athens, USA; cosmid Lorist6 has been described (Gibson et al., 1987, Gene, 53:283-286) and is from prof. Stewart Cole, Pasteur Institute, Paris, France. *E. coli* strains are obtained from commercial sources: DH5 α (Life Technologies), DH10B (Life Technologies), C600 (*E. coli* Genetic Stock Center), DH1 (Life Technologies) and XL1blue (Stratagene). *S. coelicolor* M145 and *S. lividans* ZX7 have been described (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK) and are available from prof. David Hopwood, The John Innes Institute, Norwich, UK. *Planobispora rosea* ATCC 53733, *Streptomyces hygroscopicus* ATCC 29253, *Amycolatopsis mediterranei* ATCC 13685 and *Saccharopolyspora erythraea* NRRL2338 are from the ATCC culture collection. All other materials are from commercial sources. Media for cultivation of *E. coli* (Sambrook et al., 1989, In *Molecular Cloning: A laboratory Manual*, 2nd edn, Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press) and *Streptomyces* (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK) have been described. The JM medium for *S. coelicolor* has been described (Puglia et al., 1995, Mol. Microbiol. 17:737-746).

DNA Manipulations DNA manipulations are performed following described procedures, using the appropriate *E. coli* strains as cloning hosts (Sambrook et al., 1989, In *Molecular Cloning: A laboratory Manual*, 2nd edn, Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press). Genomic DNA from actinomycetes is prepared as described (Hopwood et al., 1985, *Genetic Manipulation of*

Streptomyces: A Laboratory Manual, The John Innes Foundation, Norwich, UK). A cosmid library of *P. rosea* DNA is constructed in the cosmid vector Lorist6 following published procedures (Sambrook et al., 1989, In *Molecular Cloning: A laboratory Manual*, 2nd edn, Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press). Amplification by the PCR are performed following published guidelines (Innis, Gelfand, Sninsky and White, eds., 1990, *PCR Protocols: A guide to Methods and Applications*, Academic Press, San Diego, CA, USA).

Hybridizations Probes Pep6 and Pep8 are derived from conserved motifs in peptide synthetase gene sequences (Turgay and Marahiel, 1994, *Pept. Res.* 7:238-241). Oligonucleotide probe Pep6 consists of an equimolar mixture of 5'-GCSTACATCATCTACACSTCGGSACCSACS-GGSAAGCCSAAGGG-3' (SEQID N°1) and 5'-GGSTACATCATCTACACSAGCGGSACSGGSAAGCCSAAGGG-3' (SEQID N°2). Oligonucleotide probe Pep8 consists of an equimolar mixture of 5'-AKGCTGTCSCCSCCSAGSNNGAAG-AAGTYGTCGTCGATSCC-3' (SEQID N°3) and 5'-AKGGAGTCSCCSCCSAGSNNGAAGAAGTYGTCGTCGATSCC-3' (SEQID N°4). [S indicates G or C; K indicates G or T; Y, C or T; and N, any base]. Hybridizations are performed with a hybridization stringency set at 2xSSC, 55 °C, and a final wash set at the same stringency.

Preparation of high molecular weight DNA Procedures for the preparation of high molecular weight DNA from actinomycetes for PFGE have been described (Dyson, 1993, *Trends Genet.* 9:72; Kieser et al., 1992, *J. Bacteriol.* 174:5496-5507). They are modified for constructing libraries as described in the Examples.

7. EXAMPLES

The present invention consists in a series of passages, involving the design of suitable vectors; the introduction of large DNA inserts in said vectors employing genomic DNA from the donor organism; the selection of clones carrying 5 the cluster specifying the synthesis of the desired natural product; the introduction of selected clone(s) into the appropriate production host; and the growth of the recombinant strain under appropriate conditions for metabolite production. These passages are described in 10 detail in the Examples reported herein. These Examples outline the steps necessary to accomplish each passage, for the overall purpose of the present invention: the production of a natural product in a different host. They serve to illustrate the principles and methodologies of the 15 present invention, and are not meant to restrict its scope to the Examples specified herein.

7.1 Cloning vectors

Bacterial Artificial Chromosomes are circular plasmids that 20 can be easily propagated in and prepared from *E. coli* cells by standard miniprep methods (Shizuya et al., 1992, Proc. Natl. Acad. Sci. USA 89:8794-8797; Ioannou et al., 1994, Nature Genet. 6:84-89). In order to adapt Bacterial Artificial Chromosomes to a *Streptomyces* host, they need to 25 be endowed with a selectable marker and maintenance functions. Site-specific integration, mediated by the action of an integrase encoded by the *int* gene, allows the stable incorporation of episomal elements into the host genome, at a defined locus designated *attB*. The episomal 30 element needs to carry the cognate *attP* site and it may lack replicative functions. In addition, *int*-mediated excision of the integrated element from the chromosome via

reversal of the integration event can be prevented through selection of the resistance marker carried by the integrated episome; or, if necessary, after site-specific integration has occurred, the *int* gene on the integrated episome can be inactivated. Site-specific integration therefore allows the introduction of foreign DNA in single copy at a defined genetic locus. Several systems capable of directing site-specific integration of incoming circular DNA into the chromosome of a *Streptomyces* host have been described. A convenient system that can be used in the present invention is for instance the *int-attP* system derived from the temperate bacteriophage Φ C31 (Kuhstoss and Rao, 1991, *J. Mol. Biol.* 222:897-908), which directs, during lysogen formation, integration of the 41-kb phage genome at the *attB* site, located in a stable segment of the *S. coelicolor* chromosome (Redenbach et al., 1996, *Mol. Microbiol.* 21:77-96). Several selectable markers have been described that can be used for *Streptomyces* (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK). The *tsr* gene, conferring resistance to the antibiotic thiostrepton (Thompson et al., 1982, *Gene* 20:51-62), is used in the present invention. The pESAC vectors, pPAC-S1 and pPAC-S2, described in the present invention, are depicted in Fig. 2. Their relevant features are: ability to accommodate DNA inserts up to 300 kb; low copy number in *E. coli* for increased stability; ease of propagation in *E. coli* because of the pUC19 stuffer segment; *Bam*HI, *Xba*I or *Scal*I cloning sites, with positive selection of inserts for resistance to sucrose; T7 and SP6 promoters flanking the cloning site; Km^R or Th^R for selection in *E. coli* or actinomycetes, respectively; site-specific integration at

the Φ C31 *attB* site into the *Streptomyces* genome. Vectors pPAC-S1 and pPAC-S2 are 22 kb in size and differ solely for the orientation of the *int-tsr* cassette. After release of the stuffer pUC19 segment, the vector size is reduced to 5 19.7 kb. When cloning in the *Bam*HI site, the vector can be released by digestion with *Dra*I, resulting in vector fragments of 7.4, 4.2 and 0.6 kb. The additional 7.5 kb of vector DNA will be associated with the insert. *Dra*I rarely cuts in the high-GC genome of actinomycetes, so that the 10 insert size can be easily calculated.

Example 1

Isolation of the *int* region from Φ C31

Two pairs of PCR primers, 5'-TTTTGGTACCTGACGTCCCGAAGG-15 CGTG-3' (SEQID N°5) and 5'-CAGCTTGTCCATGGCGGA-3' (SEQID N°6); and 5'-TCTGTCCGCCATGGACAAGC-3' (SEQID N°7) and 5'-TTTTGGATCCGGCTAACTAACTAACCGAGA-3' (SEQID N°8), are used to amplify the *int*-containing fragments of 1.3 and 0.9 kb, respectively. The template is Φ C31 DNA. The amplified 20 fragments are digested with *Kpn*I + *Nco*I and *Nco*I + *Bam*HI, respectively, and recovered from an agarose gel.

Example 2

Construction of plasmid pINT

25 The 1.3 and 0.9 kb fragment, prepared as described in Example 1, are ligated to pUCMB21, digested with *Kpn*I + *Bam*HI. The resulting mixture contains the desired plasmid pINT.

30 Example 3

Construction of *E. coli* K12 DH5 α /pINT

Approximately 10 ng of plasmid pINT, prepared as described

in Example 2, are used to transform *E. coli* DH5 α and a few of the resulting Ap R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pINT, as verified by the observation, 5 upon agarose gel-electrophoresis, of fragments of 4.0 and 0.9 kb after digestion of the plasmid with *Nco*I + *Bam*HI.

Example 4

Construction of plasmids pUIT1

10 The 1.8 kb *Bam*HI fragment containing the *tsr* gene is isolated from pIJ39 and ligated to pINT, prepared as described in Example 3 and previously digested with *Bam*HI. The resulting mixture contains the desired plasmids pUIT1.

15 Example 5

Construction of *E. coli* K12 DH5 α /pUIT1

Approximately 10 ng of plasmid pUIT1, prepared as described in Example 4, are used to transform *E. coli* DH5 α and a few of the resulting Ap R colonies that appear on the LB-agar 20 plates are analyzed for their plasmid content. One colony is found to carry pUIT1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.9 and 1.8 kb after *Bam*HI digestion of the plasmid.

25 Example 6

Construction of plasmid pUIT3

The 3.7 kb *Apa*I fragment, containing the *int-tsr* cassette, is isolated from plasmid pUIT1, prepared as described in Example 5, and ligated to pUCBM21 digested with *Apa*I. The 30 resulting mixture contains the desired plasmid pUIT3.

Example 7

Construction of *E. coli* K12 DH5 α /pUIT3

Approximately 10 ng of plasmid pUIT3, prepared as described in Example 6, are used to transform *E. coli* DH5 α and a few of the resulting Ap R colonies that appear on the LB-agar 5 plates are analyzed for their plasmid content. One colony is found to carry pUIT3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.2 and 2.2 kb after *Bam*HI digestion of the plasmid.

10 Example 8Construction of plasmid pUIT4

The *Bam*HI site present in the *int-tsr* cassette of plasmid pUIT3 is eliminated as follows. Plasmid pUIT3, prepared as described in Example 7, is partially digested with *Bam*HI, 15 followed by filling-in of the resulting ends, and treated with DNA ligase. The resulting mixture contains the desired plasmid pUIT4.

Example 920 Construction of *E. coli* K12 DH5 α /pUIT4

Approximately 10 ng of plasmid pUIT4, prepared as described in Example 8, are used to transform *E. coli* DH5 α and a few of the resulting Ap R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony 25 is found to carry pUIT4, as verified by the observation, upon agarose gel-electrophoresis, of a 6.4 kb fragment after *Bam*HI digestion of the plasmid.

Example 1030 Construction of plasmid pPAC-S1 and pPAC-S2

The 3.7 kb *Apa*I fragment from pUIT4, prepared as described in Example 9, is mixed with pCYPAC2, previously digested

with *Nhe*I. After filling-in of the ends, DNA ligase is added. The resulting mixture contains the desired plasmids pPAC-S1 and pPAC-S2.

5 Example 11

Construction of *E. coli* K12 DH10B/pPAC-S1 and DH10B/pPAC-S2

Approximately 10 ng of plasmids pPAC-S1 and pPAC-S2, prepared as described in Example 10, are used to transform *E. coli* DH10B and a few of the resulting *Km*^R colonies that 10 appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pPAC-S1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 8.1, 4.8, 4.6, 2.2, 2.2, 0.5 and 0.1 kb after EcoRI digestion of the plasmid. Another colony is found to 15 carry pPAC-S2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 8.1, 7.8, 2.2, 2.2, 1.5, 0.5 and 0.1 kb after EcoRI + *Bam*HI digestion of the plasmid.

20 Although the present invention is described in the Examples listed above in terms of preferred embodiments, they are not to be regarded as limiting the scope of the invention. The above Examples serve to illustrate the principles and methodologies for constructing Bacterial 25 Artificial Chromosomes that can be introduced in a *Streptomyces* host. It will occur to those skilled in the art that selectable markers different from the *tsr* gene can be employed for selection in *Streptomyces*. Other useful markers are described in detail in laboratory manuals 30 (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK) and include but are not limited to: genes conferring resistance to apramycin, kanamycin,

erythromycin, hygromycin, viomycin. It will also occur to those skilled in the art that functions other than those specified by Φ C31 can be used for directing site-specific integration in the *Streptomyces* chromosome. These functions are described in recent literature (Hopwood and Kieser, 1991, Methods Enzymol. 204:430- 458) and include but are not limited to those derived from pSAM2, SLPI, IS117. Bacterial Artificial Chromosomes derived from the *E. coli* F plasmid have been described (Shizuya et al., 1992, Proc. Natl. Acad. Sci. USA 89:8794-8797). It will occur to those skilled in the art that, using the principles and methodologies described above, the *int-tsr* cassette from pUIT4, prepared as described in Example 9, could be inserted into a unique site of pBAC108L (Shizuya et al., 1992, Proc. Natl. Acad. Sci. USA 89:8794-8797) or of suitable derivatives of this vector, leading to the formation of a BAC-based series of pESAC. It will occur to those skilled in the art that other pESACs differing, for example, in their size, in the *E. coli* replicon they carry, in the selectable marker for *E. coli*, in the cloning sites, can also be used in the present invention. Other differences and variations in the technical aspects of the present invention could be employed. These include but are not limited to: different methods and sources for obtaining selectable markers and integrative functions; different cloning sites and methodologies; different orientation of the insert; different *E. coli* hosts for amplifying the recombinant constructs. All these variations fall within the scope of the present invention.

7.2 Construction of large inserts in pESAC

Two distinct methodologies for introducing large DNA

fragments into the vectors described in Section 7.1 fall within the scope of the present invention. The first methodology can be referred to as the top-down approach and is depicted in Fig. 3. It consists of directly cloning the 5 desired gene cluster into a pESAC through the construction of a genomic library of DNA fragments of average size of 100 kb, or more. The library is then screened with suitable probes (Section 7.3) in order to identify the desired cluster. The second methodology can be considered a bottom- 10 up approach and is illustrated in Fig. 4. It consists of assembling the desired gene cluster from pre-existing smaller segments of cloned, overlapping DNA, through the iterative use of homologous recombination in *E. coli*. The desired overlapping clones encompass the desired gene 15 cluster and are identified as described in Section 7.3. Both methodologies fall within the scope of this invention. Depending on factors such as previous knowledge about the biosynthesis cluster, the extent of characterization of the producing strain, the existence of other natural products 20 of interest produced by the original microorganism, one methodology may be preferred over the other. However, the two methodologies are not mutually exclusive.

7.2.1 Preparation of a large insert library

25 In order to prepare a large-insert library, particular care must be taken in the preparation of genomic DNA from the actinomycete strain of choice. Although several procedures have been described for the isolation of genomic DNA, few are suitable for obtaining sufficient yields of high 30 molecular weight DNA. The strain of choice is grown in a medium that allows dispersed growth to facilitate lysis of the cells. Examples of suitable growth media for different genera of actinomycetes can be found in the literature

(Balows, Trueper, Dworkin, Harder and Schleifer eds., 1992, *The Prokaryotes*, 2nd edn., Springer-Verlag, New York, NY, USA). The growth time should allow formation of a sufficient quantity of biomass; however, long incubation times should be avoided, since mycelia are generally more resistant to lysis as they age. The mycelium is pelleted, washed and embedded in agarose for the subsequent lytic steps. Lysis of the cells is achieved by a combination of enzymatic (e.g., incubation with lysozyme and/or achromopeptidase) and mild physical treatments (e.g., SDS). The concentrations of reagents and the incubation times need to be optimized for each strain. A good starting point is represented by the conditions described in Example 12. The quality of the DNA preparation is checked by PFGE under appropriate conditions. Once a suitable preparation is obtained, the DNA can be digested as described in Example 13. The exact incubation time and the units of restriction endonuclease are adjusted to the particular DNA preparation for optimizing the size and yield of the bulk of digested DNA, which should exceed 150 kb. The partially digested DNA is size-fractionated on a PFGE gel, without exposure to ethidium bromide or UV light, in order to avoid damage to the DNA. The gel slice containing the desired DNA fraction is localized by staining the marker-containing portion of the gel and cut. All subsequent manipulations are performed with great care (Birren and Lai, 1993, *Pulsed Field Gel Electrophoresis: A Practical Guide*, Academic Press, New York, NY). The size-selected DNA is ligated to an appropriately prepared pESAC (see Example 14) employing a high molar excess of vector to insert (ca. 10:1) in order to minimize the formation of chimeric clones (i.e. those constituted by the religation of two uncontiguous inserts). Subsequent steps are performed using published procedures

for the cloning in Bacterial Artificial Chromosomes, as described in Examples 16 and 17.

The genome size of actinomycetes is around 8 Mb. Consequently, a 10-genome equivalents library consisting of 5 800 clones with an average insert size of 100 kb has >99.9% probability of containing the desired clone (Sambrook et al., 1989, In *Molecular Cloning: A laboratory Manual*, 2nd edn, Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press). Therefore, the average clone in the 10 library will have a 10-kb segment (8,000 kb divided by 800 clones = 10 kb/clone) of unique DNA, i.e. DNA not found in any other clone. Consequently, a 90 kb cluster will have a high chance of being exactly contained within one or two 100-kb clones in a 800-clone library. The number of clones 15 to be screened and the average insert size to be looked for in the ESAC library depends on the expected size of the biosynthesis gene cluster. The larger the difference between the average insert size and the expected size of the gene cluster, the smaller the number of clones to 20 screen in order to identify an entire gene cluster in a single clone. ESAC DNA is prepared from a representative number of clones obtained after electroporation of a ligation mixture and analyzed for determining the frequency of insert-carrying clones and their average size. If 25 necessary, all insert containing clones can be analyzed by miniprep procedure (Birren and Lai, 1993, *Pulsed Field Gel Electrophoresis: A Practical Guide*, Academic Press, New York, NY, USA) and clones carrying inserts below a certain threshold can be discarded. Alternatively, the number of 30 clones carrying insert of the appropriate size can be estimated after analysis of a representative number of ESACs. The quality of the library can be evaluated by probing with cloned genes from the strain (if available),

or from highly conserved "housekeeping" genes from a strain with a similar GC content, such as *S. coelicolor*.

Example 12

5 Preparation of high molecular weight chromosomal DNA

S. coelicolor strain M145 is grown in YEME medium containing 0.5% (wt/vol) glycine for 40 h at 30°C on an orbital shaker (ca. 200 rpm). The mycelium is pelleted by centrifugation, washed with 10.3% sucrose and the 10 chromosomal DNA is extracted from the mycelium embedded in 0.75% LMP agarose by treatment with 1 mg/ml lysozyme and with 1 mg/ml proteinase K in 0.1% SDS for 40 h at 50°C.

Example 13

15 Preparation of partially digested chromosomal DNA

S. coelicolor M145 chromosomal DNA, prepared as described in Example 12 and embedded in LMP agarose plugs, is partially digested by limiting the magnesium concentration for 20 min with 4 U of *Sau3AI*. The resulting DNA fragments 20 are resolved by PFGE and the size-selected genomic DNA fraction (larger than 100 kb) is recovered and released from the agarose gel by digestion with gelase.

Example 14

25 Preparation of pPAC-S1 for library construction

The vector pPAC-S1, prepared as described in Example 11, is cut with *ScalI* and then treated with calf intestinal phosphatase. The recovered DNA is then digested with *BamHI* and treated with an excess of calf intestinal phosphatase. 30 The short *ScalI-BamHI* linker fragments are removed by spin dialysis.

Example 15Construction of the ESAC library

Size selected genomic DNA, prepared as described in Example 13, is ligated to pPAC-S1, prepared as described in Example 14, employing 300 Molecular Biology Units of T4 DNA ligase in a 50 μ l final volume and using a ca. 10:1 molar ratio of vector to insert. The resulting ligation mixture contains the desired ESAC library, consisting of fragments of *S. coelicolor* DNA inserted into the pPAC-S1 vector.

10

Example 16Introduction of the library into *E. coli* K12 DH10B

The ligation mixture, prepared as described in Example 15, is drop-dialyzed against 0.5 X TE for 2 h using 0.025 mm type VS membranes (Millipore) and a few μ l are used to electroporate 40 μ l of electrocompetent *E. coli* DH10B cells. The electroporation conditions are: 2.5 kV, 100 Ω and 25 mFa employing the Biorad Gene Pulser II. The cells are plated on LB-agar plates containing 25 μ g/ml Km and 5% sucrose to select for recombinant cells harboring insert-carrying pPAC-S1. Individual colonies are picked into 0.1 ml of LB broth containing 25 μ g/ml Km in 96-well microtiter plates, where they are stored at -80 °C after overnight incubation and addition of glycerol to 20% (v/v).

25

Example 17Preparation of recombinant ESACs

Individual colonies, prepared as described in Example 16, are inoculated into 5 ml of LB broth containing 25 μ g/ml Km and grown overnight. ESAC DNA is isolated using the alkaline extraction procedure (Sambrook et al., 1989, In *Molecular Cloning: A laboratory Manual*, 2nd edn, Cold

Spring Harbor, New York: Cold Spring Harbor Laboratory Press)) without the phenol extraction step. The DNA is analyzed, after digestion with *Dra*I, by PFGE. Three bands of 7.4, 4.2 and 0.6 kb are common to all clones and 5 represent vector DNA.

The examples described above illustrate the principles and methodologies of constructing a large-insert library of *S. coelicolor* DNA in a pESAC. Although the present 10 invention is described in the Examples listed above in terms of preferred embodiments, they are not to be regarded as limiting the scope of the invention. The above descriptions serve to illustrate the principles and methodologies for constructing a large-insert DNA library 15 in a pESAC. It will occur to those skilled in the art that other *Streptomyces* strains can be used as a source of DNA for constructing the library. For example, an ESAC library of the rapamycin producer *Streptomyces hygroscopicus* ATCC 29253 can be constructed, employing the procedures reported 20 for PFGE analysis (Ruan et al., 1997, Gene 203:1-9) and applying the principles and methodologies described in Examples 12 through 17.

It will also occur to those skilled in the art that strains from actinomycete genera other than *Streptomyces* 25 can be used as a source of DNA for constructing an ESAC library. These strains can belong to any genus of the order *Actinomycetales*, which include but are not limited to the genera reported in Table 1. As another example, an ESAC library of the erythromycin producer *Saccharopolyspora erythraea* can be constructed, employing the procedures 30 reported for PFGE analysis (Reeves et al., 1998, Microbiology 144:2151-2159) and applying the principles and methodologies described in Examples 12 through 17. Those

skilled in the art understand that bacterial taxonomy is a rapidly evolving field and new genera may be described while old genera may be reclassified. Therefore, the list of bacteria genera related to actinomycetes is very likely 5 to change. Nonetheless, the principles and methodologies of the present invention can be applied to any donor organism related to the actinomycetes.

It will also occur to those skilled in the art that different actinomycete strains will require growth media 10 different from those reported in Example 12. Furthermore, alternative media and conditions for growth can be employed for obtaining mycelia for DNA preparation; that alternative methods of lysis of mycelia can be utilized; that restriction endonucleases other than Sau3AI can be equally 15 effective for constructing a library; that other methods for fragmenting DNA can be employed. In addition, it will occur to those skilled in the art that pESAC other than pPAC-S1, which include but are not limited to the possible vectors described in Section 7.1, can be used for 20 constructing a library. Alternative methods for ligating DNA, for introducing the library in *E. coli* cells, and hosts other than DH10B are well described in the literature and can be employed in the present invention. All the above variations in strains, reagents and methodologies that can 25 be employed for preparing a large-insert library of actinomycete DNA into a pESAC fall within the scope of the present invention.

Table 1List of exemplary genera of *Actinomycetales*

| | | |
|-------------------------|--------------------------|--------------------------|
| <i>Acidothermus</i> | <i>Cellulomonas</i> | <i>Kineococcus</i> |
| <i>Actinobispora</i> | <i>Chainia</i> | <i>Kineosporia</i> |
| <i>Actinocorallia</i> | <i>Clavibacter</i> | <i>Kitasatoa</i> |
| <i>Actinokineospora</i> | <i>Coriobacterium</i> | <i>Kitasatosporia</i> |
| <i>Actinomadura</i> | <i>Corynebacterium</i> | <i>Kocuria</i> |
| <i>Actinomyces</i> | <i>Couchioplanes</i> | <i>Kutzneria</i> |
| <i>Actinoplanes</i> | <i>Cryobacterium</i> | <i>Kytococcus</i> |
| <i>Actinopolyspora</i> | <i>Curtobacterium</i> | <i>Lentzea</i> |
| <i>Actinopycnidium</i> | <i>Dactylosporangium</i> | <i>Luteococcus</i> |
| <i>Actinosporangium</i> | <i>Demetria</i> | <i>Microbacterium</i> |
| <i>Actinosynnema</i> | <i>Dermabacter</i> | <i>Microbispora</i> |
| <i>Aeromicrobium</i> | <i>Dermacoccus</i> | <i>Micrococcus</i> |
| <i>Agrococcus</i> | <i>Dermatophilus</i> | <i>Microellobosporia</i> |
| <i>Agromyces</i> | <i>Dietzia</i> | <i>Microlunatus</i> |
| <i>Ampullariella</i> | <i>Elytrosporangium</i> | <i>Micromonospora</i> |
| <i>Amycolata</i> | <i>Excellospora</i> | <i>Microsphaera</i> |
| <i>Amycolatopsis</i> | <i>Exiguobacterium</i> | <i>Micro raspora</i> |
| <i>Arcanobacterium</i> | <i>Frankia</i> | <i>Microthrix</i> |
| <i>Arthrobacter</i> | <i>Friedmanniella</i> | <i>Mobiluncus</i> |
| <i>Atopobium</i> | <i>Gardnerella</i> | <i>Mycobacterium</i> |
| <i>Aureobacterium</i> | <i>Geodermatophilus</i> | <i>Nesterenkonia</i> |
| <i>Bifidobacterium</i> | <i>Glycomyces</i> | <i>Nocardia</i> |
| <i>Blastococcus</i> | <i>Gordona</i> | <i>Nocardioides</i> |
| <i>Bogoriella</i> | <i>Herbidospora</i> | <i>Nocardiopsis</i> |
| <i>Brachybacterium</i> | <i>Intrasporangium</i> | <i>Oerskovia</i> |
| <i>Brevibacterium</i> | <i>Janibacter</i> | <i>Pelczaria</i> |
| <i>Catellatospora</i> | <i>Jonesia</i> | <i>Phenyllobacterium</i> |
| <i>Catenuloplanes</i> | <i>Kibdelosporangium</i> | <i>Pilimelia</i> |

| | | |
|--------------------------|---------------------------|----------------------------|
| <i>Pimelobacter</i> | <i>Rhodococcus</i> | <i>Streptomyces</i> |
| <i>Planobispora</i> | <i>Rothia</i> | <i>Streptosporangium</i> |
| <i>Planomonospora</i> | <i>Rubrobacter</i> | <i>Streptoverticillium</i> |
| <i>Planopolyspora</i> | <i>Saccharomonospora</i> | <i>Terrabacter</i> |
| <i>Planotetaspora</i> | <i>Saccharopolyspora</i> | <i>Terracoccus</i> |
| <i>Prauseria</i> | <i>Saccharothrix</i> | <i>Thermoactinomyces</i> |
| <i>Promicromonospora</i> | <i>Sanguibacter</i> | <i>Thermocrispum</i> |
| <i>Propionibacterium</i> | <i>Skermania</i> | <i>Thermomonospora</i> |
| <i>Propioniferax</i> | <i>Spirilliplanes</i> | <i>Tropheryma</i> |
| <i>Pseudonocardia</i> | <i>Spirillospora</i> | <i>Tsukamurella</i> |
| <i>Rarobacter</i> | <i>Sporichthya</i> | <i>Turicella</i> |
| <i>Rathayibacter</i> | <i>Stomatococcus</i> | |
| <i>Renibacterium</i> | <i>Streptoalloteichus</i> | |

7.2.2 Assemblage by homologous recombination

The bottom-up strategy of assembling large fragments from a set of pre-existing smaller segments of partially overlapping DNA cloned from the genome of the actinomycete donor organism, is described in this section. This methodology makes use of the same pESAC described in the present invention under Section 7.1. The desired cluster is assembled from existing partially overlapping clones by the iterative use of homologous recombination in *E. coli*. In the example of Fig. 5, three overlapping clones, designated 1, 2 and 3, and derived from the genome of a donor organism, encompass the desired biosynthesis cluster. These clones include leftward fragment "A" unique to clone 1; fragment "B" common to clones 1 and 2; fragment "C" common to clones 2 and 3; and rightward fragment "D" unique to clone 3. These fragments can range from a few hundred bp to a few kb, and are thus amenable to routine in vitro DNA manipulations. The number of overlapping clones encompassing the cluster may vary. However, if n is the number of overlapping clones that cover the desired genomic segment, the number of fragments to consider will be equal to $n + 1$. In the example illustrated in Fig. 5, four fragments are required. The cluster of Fig. 5 is reconstructed into a pESAC through the use of successive rounds of homologous recombination in *E. coli*. Fragments A and B are cloned in a *ts* vector, as shown in Fig. 6, which carries a selectable marker, Cm^R as exemplified in Fig. 6. The same is done with fragment pairs B-C and C-D (Fig. 6). The relative orientation of each fragment pair in the *ts* vector must be the same as in the gene cluster. The fragments in each pair may be separated by a selectable marker, designated Ab^R in Fig. 6, to monitor interplasmid

insert exchange. Therefore, three constructs in the *ts* vector, designated pAB1, pAB2 and pAB3, are required. The A-B-C-D four-fragment cassette is cloned in a pESAC (Fig. 6). The relative orientation of the four fragments in the 5 pESAC must be the same as in the gene cluster. Again, a selectable marker may separate any of two fragments to monitor interplasmid insert exchange. The original clone (for example, a cosmid, which carries a selectable marker, Km^R as exemplified in Fig. 7) containing part of the cluster 10 and the cognate *ts* construct (Fig. 7) are introduced into the same *E. coli* cell. The interplasmid cointegrate between the original clone and the *ts* construct is selected at the non-permissive temperature for the *ts* replicon. This occurs through a single, reciprocal homologous recombination 15 mediated by either one of the two fragments in the A-B, B-C or C-D pairs. The cointegrate is then resolved at the permissive temperature, leading to insert exchange between the two replicons (Fig. 7). The presence in the *ts* replicon of the genomic segment comprised between fragments A and B 20 can be monitored by the appearance of $\text{Cm}^R \text{Ab}^S$ colonies. This is done for clone 1 and pAB1, resulting in pAB2; for clone 2 and pBC1, resulting in pBC2; and for clone 3 and pCD1, resulting in pCD2. Each insert from the original 25 overlapping clones (Fig. 5) is thus transferred into the *ts* replicon, as outlined in Fig. 7. Subsequently, the inserts from clone 1, now present in the *ts* plasmid pAB2, is introduced into the pESAC construct carrying the entire A-B-C-D cassette. This is done by selecting for the 30 interplasmid cointegrate between pAB2 and the pESAC construct at the non-permissive temperature, and then resolving the cointegrate at the permissive temperature, selecting for $\text{Km}^R \text{Ab}^S$ colonies. This leads to insert exchange between the two replicons (as shown in Fig. 8).

Next, a selectable marker is introduced in the growing ESAC between the next fragment pair, again through the use of two rounds of single, reciprocal homologous recombination mediated by plasmid pBC1, leading to the appearance of Km^R 5 Ab^R colonies. Subsequently, the interplasmid exchange with pBC2 leads to the introduction of the genomic segment comprised between fragments B and C. Finally, the use of pCD1 first and subsequently of pCD2 leads to the reconstruction of the genomic segment into the pESAC. 10 Therefore, through the use of alternating steps, the Ab^R marker first and the genomic segment later are introduced between any fragment pair, as schematized in Fig. 8. This iterative procedure results in the reconstruction of the original chromosomal region in the pESAC.

15 A series of examples described herein illustrate how a 90-kb gene segment from the actinomycete *P. rosea* is assembled from three pre-existing cosmids via homologous recombination. The cosmids, designated pRP16, pRP31 and pRP58, are identified in a cosmid library constructed in 20 the vector Lorist6 by the use of selective hybridization probes. The relevant information about the cluster is reported in Fig. 9. The reconstruction of the cluster results in the formation of the intermediate derivatives pPAD1, PAD2, PAD4 and PAD6, carrying inserts of 10, 39, 68 25 and 89 kb, respectively. The examples reported herein serve to illustrate the principles and methodologies of the present invention and are not meant to restrict its scope.

Example 18

30 Isolation of cosmid clones pRP16, pRP31 and pRP58

A cosmid library of *P. rosea* DNA prepared in the vector Lorist6 is screened with oligonucleotide probes Pep6 and Pep8, according to the conditions described under Section

6. Among the positive colonies identified, several cosmids were found to span the ca. 90 kb segment of the *P. rosea* chromosome reported in Fig. 9. Signature sequences close to the left and right ends of this segment are reported as 5 SEQID N°9 and SEQID N°10, respectively. Three cosmids are chosen for further studies. Cosmids pRP16, pRP31 and pRP58 exhibits, after digestion with *Bam*HI and resolution by agarose gel-electrophoresis, fragments of 7.5, 7.2, 5.6, 5.2, 2.7, 2.0, 1.9, 1.9, 1.8, 1.6, 1.4, 0.9 and 0.7 kb; of 10 10.5, 6.2, 3.1, 2.8, 2.6, 2.5, 2.1, 1.9, 1.9, 1.5, 1.4, 1.2, 1.0, 1.0, 0.9, 0.9, 0.7, 0.6, 0.5, 0.5, 0.1 and 0.1 kb; and of 10.0, 7.6, 6.7, 6.2, 3.4, 3.0, 2.8, 2.1, 1.0, 1.0, 0.9, 0.9, 0.7, 0.6, 0.5, 0.5, 0.3 and 0.1 kb; respectively.

15

Example 19Construction of plasmid pUA1

The 0.9 kb *Sma*I-*Sst*I fragment, comprised between map coordinates 2.0-2.9 kb of Fig. 9, is obtained from cosmid 20 pRP16, prepared as described in Example 18, and ligated to pUC18 previously digested with *Sst*I and *Sma*I. The resulting mixture contains the desired plasmid pUA1.

Example 2025 Construction of *E. coli* K12 XL1blue/pUA1

Approximately 10 ng of plasmid pUA1, prepared as described in Example 19, are used to transform *E. coli* XL1blue and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony 30 is found to carry pUA1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 0.9 kb after digestion of the plasmid with *Bam*HI + *Sst*I.

Example 21Construction of plasmid pUA2

The 0.9 kb *Bam*HI-*Sst*I fragment from pUA1, prepared as described in Example 20, is ligated to pUCBM20 previously 5 digested with *Bam*HI and *Sst*I. The resulting mixture contains the desired plasmid pUA2.

Example 22Construction of *E. coli* K12 XL1blue/pUA2

10 Approximately 10 ng of plasmid pUA2, prepared as described in Example 21, are used to transform *E. coli* XL1blue and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUA2, as verified by the observation, 15 upon agarose gel-electrophoresis, of fragments of 2.7 and 0.9 kb after digestion of the plasmid with *Eco*RI + *Sst*I.

Example 23Construction of plasmid pUB1

20 The 1.8 kb *Sst*I-*Bam*HI fragment, comprised between map coordinates 33.4-35.2 of Fig. 9, is obtained from cosmid pRP16, prepared as described in Example 18, and ligated to pUC18 previously digested with *Sst*I + *Bam*HI. The ligation mixture contains the desired plasmid pUB1.

25

Example 24Construction of *E. coli* K12 XL1blue/pUB1

Approximately 10 ng of plasmid pUB1, prepared as described in Example 23, are used to transform *E. coli* XL1blue and a 30 few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to contain plasmid pUB1 as verified by the observation, upon agarose gel electrophoresis, of fragments

2.7 and 1.8 kb after digestion with *Sst*I + *Xba*I.

Example 25

Construction of plasmid pUC1

5 The 6.2 kb *Bam*HI fragment, comprised between map coordinates 54.2-60.4 kb of Fig. 9, is obtained from cosmid pRP58, prepared as described in Example 18, and ligated to pUC18 previously digested with *Bam*HI. The ligation mixture contains the desired plasmid pUC1.

10

Example 26

Construction of *E. coli* K12 XL1blue/pUC1

15 Approximately 10 ng of plasmid pUC1, prepared as described in Example 25, are used to transform *E. coli* XL1blue and a few of the resulting *Ap*^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUC1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.9 and 4.0 kb after digestion of the plasmid with *Pst*I.

20

Example 27

Construction of plasmid pUD1

25 Synthetic oligonucleotides 5'-GATCTAAGCTTGGGG-3' (SEQID N°11) and 5'-CCCCAAGCTT-3' (SEQID N°12) are annealed and ligated to the 1.5 kb *Pst*I-*Bam*HI fragment, comprised between map coordinates 89.5-91.0 kb of Fig. 9 and obtained from cosmid pRP58, prepared as described in Example 18. The ligation mixture is digested with *Hind*III and ligated to pUC18 previously digested with *Pst*I + *Hind*III. The 30 resulting mixture contains the desired plasmid pUD1.

Example 28

Construction of *E. coli* K12 XL1blue/pUD1

Approximately 10 ng of plasmid pUD1, prepared as described in Example 27, are used to transform *E. coli* XL1blue and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony 5 is found to contain plasmid pUD1 as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 1.5 kb after digestion with *Pst*I + *Hind*III.

Example 29

10 Construction of plasmid pUAB1

The 0.9 kb *Eco*RI-*Sst*I fragment from plasmid pUA2, prepared as described in Example 22, and the 1.8 kb *Sst*I-*Bam*HI fragment from pUB1, prepared as described in Example 24, are ligated to pUC18 previously digested with *Eco*RI + 15 *Bam*HI. The ligation mixture contains the desired plasmid pUAB1.

Example 30

Construction of *E. coli* K12 XL1blue/pUAB1

20 Approximately 10 ng of plasmid pUAB1, prepared as described in Example 29, are used to transform *E. coli* XL1blue and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUAB1, as verified by the observation, 25 upon agarose gel-electrophoresis, of two fragments of 2.7 kb after digestion of the plasmid with *Eco*RI + *Xba*I.

Example 31

Isolation of the tet fragment

30 The 1.6 kb fragment containing the tet gene is isolated after PCR amplification of pBR322 DNA using oligonucleotides 5'-GAGCTCTCATGTTGACAGCT-3' (SEQID N°13) and 5'-GAGCTCTGACTTCCGCGTTCCAG-3' (SEQID N°14) as primers,

followed by digestion with *Sst*I.

Example 32

Construction of plasmid pUAB2

5 Plasmid pUAB1, prepared as described in Example 30, is digested with *Sst*I and ligated to the *tet* fragment prepared as described in Example 31. The ligation mixture contains the desired plasmid pUAB2.

10 Example 33

Construction of *E. coli* K12 DH5 α /pUAB2

Approximately 10 ng of plasmid pUAB2, prepared as described in Example 32, are used to transform *E. coli* DH5 α and a few of the resulting Tc^R Ap^R colonies that appear on the LB-agar 15 plates are analyzed for their plasmid content. One colony is found to carry pUAB2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.3 and 2.7 kb after digestion of the plasmid with *Eco*RI + *Xba*I.

20 Example 34

Construction of plasmid pUBC1

The 1.8 kb *Sst*I-*Xba*I fragment obtained from plasmid pUB1, prepared as described in Example 24, and the 4.0 kb *Xba*I-*Pst*I fragment obtained from plasmid pUC1, prepared as 25 described in Example 26, are ligated to pUC18 previously digested with *Sst*I + *Pst*I. The ligation mixture contains the desired plasmid pUBC1.

Example 35

30 Construction of *E. coli* K12 XL1blue/pUBC1

Approximately 10 ng of plasmid pUBC1, prepared as described in Example 34, are used to transform *E. coli* XL1blue and a

few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUBC1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 5.8 and 5 2.7 kb after digestion of the plasmid with *Eco*RI + *Hind*III.

Example 36

Construction of plasmid pUBC2

Plasmid pUBC1, prepared as described in Example 35 and 10 previously digested with *Xba*I, and the *tet* fragment, prepared as described in Example 31, are treated with T4 DNA polymerase and T4 DNA ligase. The ligation mixture contains the desired plasmid pUBC2.

15 Example 37

Construction of *E. coli* K12 DH5 α /pUBC2

Approximately 10 ng of plasmid pUBC2, prepared as described in Example 36, are used to transform *E. coli* DH5 α and a few 20 of the resulting Tc^RAp^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUBC2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 5.6 and 4.5 kb after digestion of the plasmid with *Hind*III.

25 Example 38

Construction of plasmid pUCD1

The 4.0 kb *Xba*I-*Pst*I fragment obtained from plasmid pUC1, prepared as described in Example 26, and the 1.5 kb *Pst*I-*Hind*III fragment isolated from plasmid pUD1, prepared as 30 described in Example 28, are ligated to pUC18 previously digested with *Xba*I and *Hind*III. The mixture contains the desired plasmid pUCD1.

Example 39Construction of *E. coli* K12 XL1blue/pUCD1

Approximately 10 ng of plasmid pUCD1, prepared as described in Example 38, are used to transform *E. coli* XL1blue and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUCD1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 5.5 and 10 2.7 kb after digestion of the plasmid with *Xba*I + *Hind*III.

Example 40Construction of plasmid pUCD2

Plasmid pUCD1, prepared as described in Example 39 and previously digested with *Pst*I, and the *tet* fragment prepared as described in Example 31, are treated with T4 DNA polymerase and T4 DNA ligase. The ligation mixture contains the desired plasmid pUCD2.

20 Example 41Construction of *E. coli* K12 DH5 α /pUCD2

Approximately 10 ng of plasmid pUCD2, prepared as described in Example 40, are used to transform *E. coli* DH5 α and a few of the resulting Tc^RAp^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUCD2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 6.7 and 3.1 kb after digestion of the plasmid with *Hind*III.

30 Example 42Construction of plasmid pUAD1

The 4.3 kb *Eco*RI-*Xba*I fragment obtained from plasmid pUAB2,

prepared as described in Example 33, and the 5.5 *Xba*I-*Hind*III fragment from plasmid pUCD1, prepared as described in Example 39, are ligated to pUC18, previously digested with *Eco*RI + *Hind*III. The ligation mixture contains the 5 desired plasmid pUAD1.

Example 43

Construction of *E. coli* K12 DH5 α /pUAD1

Approximately 10 ng of plasmid pUAD1, prepared as described 10 in Example 42, are used to transform *E. coli* DH5 α and a few of the resulting *Tc*^{R*Ap*^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUAD1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 8.9 and 15 3.6 kb after digestion of the plasmid with *Hind*III.}

Example 44

Construction of plasmid pMAB1

The 4.3 kb *Eco*RI-*Xba*I fragment obtained from plasmid pUAB2, 20 prepared as described in Example 33, is treated with T4 DNA Polymerase and ligated to pMAK705 previously digested with *Hinc*II. The ligation mixture contains the desired plasmid pMAB1.

25 Example 45

Construction of *E. coli* K12 C600/pMAB1

Approximately 10 ng of plasmid pMAB1, prepared as described in Example 44, are used to transform *E. coli* C600 and a few of the resulting *Cm*^{R*Tc*^R colonies that appear on the LB-agar 30 plates are analyzed for their plasmid content. One colony is found to carry pMAB1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.1, 3.4,}

1.4 and 0.9 kb after digestion of the plasmid with *Hind*III + *Eco*RI.

Example 46

5 Construction of plasmid pMBC1

The 7.1 kb fragment from plasmid pUBC2, prepared as described in Example 37, is obtained after partial digestion with *Pst*I, treated with T4 DNA polymerase and ligated to pMAK705 previously digested with *Hinc*II. The 10 ligation mixture contains the desired plasmid pMBC1.

Example 47

Construction of *E. coli* K12 C600/pMBC1

Approximately 10 ng of plasmid pMBC1, prepared as described 15 in Example 46, are used to transform *E. coli* C600 and a few of the resulting Cm^RTc^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pMBC1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 9.5, 1.5, 20 1.3 and 0.3 kb after digestion of the plasmid with *Bam*HI.

Example 48

Construction of plasmid pMCD1

The 7.1 kb fragment from plasmid pUCD2, prepared as 25 described in Example 41, is obtained by complete digestion with *Xba*I and partial digestion with *Hind*III, treated with T4 DNA polymerase and ligated to pMAK705, previously digested with *Hinc*II. The ligation mixture contains the desired plasmid pMCD1.

30

Example 49

Construction of *E. coli* K12 C600/pMCD1

Approximately 10 ng of plasmid pMCD1, prepared as described

in Example 48, are used to transform *E. coli* C600 and a few of the resulting Cm^RTc^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pMCD1, as verified by the observation, 5 upon agarose gel-electrophoresis, of fragments of 8.6 and 4.3 kb after digestion of the plasmid with *Bam*HI.

Example 50

Construction of plasmid pPAD1

10 The 10.0 kb *Eco*RI-*Nde*I fragment from plasmid pUAD1, prepared as described in Example 43, is ligated to pPAC-S1, prepared as described in Example 11 and previously digested with *Scal*I. The ligation mixture contains the desired plasmid pPAD1.

15

Example 51

Construction of *E. coli* K12 C600/pPAD1

Approximately 10 ng of plasmid pPAD1, prepared as described in Example 50, are used to transform *E. coli* C600 and a few 20 of the resulting Km^RTc^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pPAD1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 19.7, 5.8, 3.1 and 1.2 kb after digestion of the plasmid with 25 *Bam*HI. After digestion with *Dra*I and resolution by PFGE, pPAD1 yields fragments of 17.4, 7.4, 4.2 and 0.6 kb.

Example 52

Construction of *E. coli* K12 C600/pMAB1::pRP16

30 *E. coli* C600/pMAB1, prepared as described in Example 45, is transformed with ca. 50 ng of pRP16, prepared as described in Example 18. The Cm^RKm^R colonies that appear at 30 °C on

the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight 5 incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their plasmid content. One colony is found to carry pMAB1::pRP16, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 34, 10.7, 1.7, 1.6, 1.5, 1.2 and 0.6 kb after 10 digestion of the plasmid with EcoRI.

Example 53

Construction of *E. coli* K12 C600/pMAB2

Several colonies of *E. coli* C600/pMAB1::pRP16, prepared as 15 described in Example 52, are grown individually in LB broth containing Cm for 24 h at 30°C, diluted 1:100 and incubated for further 8 h. Appropriate dilutions are plated. Few of the resulting Cm^RKm^STc^S colonies that appear at 30°C are analyzed for their plasmid content. One colony is found to 20 carry pMAB2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 37 and 1.5 kb after digestion of the plasmid with EcoRI.

Example 54

25 Construction of *E. coli* K12 DH1/pMBC1::pRP31

Approximately 50 ng of pRP31, prepared as described in Example 18, are used to transform *E. coli* DH1 cells. Competent cells are prepared from one of the resulting Km^R colonies and transformed with ca. 10 ng of plasmid pMBC1, 30 prepared as described in Example 47. The Cm^RKm^R colonies that appear at 30°C on the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at

various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their 5 plasmid content. One colony is found to carry pMBC1::pRP31, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 22.2, 14.1, 14.0 and 6.0 kb after digestion of the plasmid with EcoRV.

10 Example 55

Construction of *E. coli* K12 DH1/pMBC2

Several colonies of *E. coli* DH1/pMBC1::pRP31, prepared as described in Example 54, are grown individually in LB broth containing Cm for 24 h at 30°C, diluted 1:100 and incubated 15 for further 8 h. Appropriate dilutions are plated. Few of the resulting Cm^RKm^STc^S colonies that appear at 30°C are analyzed for their plasmid content. One colony is found to carry pMBC2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 14.4, 14.1 and 1.5 kb 20 after digestion of the plasmid with EcoRI.

Example 56

Construction of *E. coli* K12 DH1/pMCD1::pRP58

Approximately 50 ng of pRP58, prepared as described in 25 Example 18, are used to transform *E. coli* DH1 cells. Competent cells are prepared from one of the resulting Km^R colonies and transformed with ca. 10 ng of plasmid pMCD1, prepared as described in Example 48. The Cm^RKm^R colonies that appear at 30°C on the LB-agar plates are grown at 30°C 30 in LB broth containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after

overnight incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their plasmid content. One colony is found to carry pMCD1::pRP58, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 39, 16, 1.7, 1.6, 1.5, 1.2 and 0.6 kb after digestion of the plasmid with EcoRI.

Example 57

Construction of *E.coli* K12 DH1/pMCD2

10 Several colonies of *E. coli* DH1/pMCD1::pRP58, prepared as described in Example 56, are grown individually in LB broth containing Cm for 24 h at 30°C, diluted 1:100 and incubated for further 8 h. Appropriate dilutions are plated. Few of the resulting Cm^RKm^STc^S colonies that appear at 30°C are 15 analyzed for their plasmid content. One colony is found to carry pMCD2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 42 and 1.5 kb after digestion of the plasmid with EcoRI.

20 Example 58

Construction of *E. coli* K12 C600/pMAB2::pPAD1

E. coli C600/pMAB2, prepared as described in Example 53, is transformed with ca. 50 ng of plasmid pPAD1, prepared as described in Example 51. The Cm^RKm^R colonies that appear at 25 30°C on the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and 30 Cm for 16 h at 44°C and analyzed for their plasmid content. One colony is found to carry pMAB2::pPAD1, as verified by the observation, upon agarose gel-electrophoresis, of

fragments of 19.7, 7.2, 5.6, 5.6, 5.5, 5.2, 3.1, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 1.2, 0.9, 0.9 and 0.7 kb after digestion of the plasmid with *Bam*HI.

5 Example 59

Construction of *E.coli* K12 C600/PAD2

Several colonies of *E. coli* C600/pMAB2::pPAD1, prepared as described in Example 58, are grown individually in LB containing Km for 24 h at 30°C, diluted 1:100 and incubated 10 for further 8 h at 44°C. Appropriate dilutions are plated. Few of the resulting Km^RCm^STc^S colonies that appear at 37°C are analyzed for their plasmid content. One colony is found to carry PAD2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 19.7, 7.2, 5.6, 5.5, 5.2, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 0.9 and 0.7 kb after digestion of the plasmid with *Bam*HI. After *Dra*I digestion and resolution by PFGE, PAD2 yields fragments of 15 45, 7.4, 4.2 and 0.6 kb.

20 Example 60

Construction of plasmid pMCD3

The 1.4 kb *Kpn*I-*Xho*II fragment obtained from plasmid pCYPAC2 after digestion with *Xho*II, treatment with T4 DNA polymerase and digestion with *Kpn*I, and the 7.1 kb *Xba*I-*Hind*III fragment from pUCD2, prepared as described in Example 40 and obtained after partial digestion with *Hind*III, complete digestion with *Xba*I and treatment with T4 DNA polymerase, are ligated to pMAK705, previously digested with *Kpn*I + *Hinc*II. The ligation mixture contains the 25 30 desired plasmid pMCD3.

Example 61

Construction of *E. coli* K12 C600/pMCD3

Approximately 10 ng of plasmid pMCD3, prepared as described in Example 60, are used to transform *E. coli* C600 and a few of the resulting Cm^RTc^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pMCD3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 9.8 and 4.3 kb after digestion of the plasmid with BamHI.

10 Example 62Construction of *E. coli* K12 C600/PAD2::pMCD3

E. coli C600/PAD2, prepared as described in Example 59, is transformed with ca. 10 ng of plasmid pMCD3, prepared as described in Example 61. The Cm^RKm^R colonies that appear at 15 30°C on the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and 20 Cm for 16 h at 44°C and analyzed for their plasmid content. One colony is found to carry PAD2::pMCD3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 19.7, 9.8, 7.2, 5.6, 5.5, 5.2, 4.3, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 0.9 and 0.7 kb after digestion of 25 the plasmid with BamHI.

Example 63Construction of *E. coli* K12 C600/PAD3

Several colonies of *E. coli* C600/PAD2::pMCD3, prepared as 30 described in Example 62, are grown individually in LB broth containing Km for 24 h at 30°C, diluted 1:100 and incubated for further 8 h at 44°C. Appropriate dilutions are plated.

Few of the resulting $\text{Km}^R\text{Cm}^S\text{Tc}^R$ colonies are analyzed for their plasmid content. One colony is found to carry PAD3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 21.5, 7.2, 5.6, 5.2, 4.3, 5 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 0.9 and 0.7 kb after digestion of the plasmid with *Bam*HI.

Example 64

Construction of *E. coli* K12 C600/PAD3::pMCD2

10 *E. coli* C600/PAD3, prepared as described in Example 63, is transformed with ca. 50 ng of plasmid pMCD2, prepared as described in Example 57. The Cm^RKm^R colonies that appear at 30°C on the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at various 15 times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their plasmid content. One colony is found to carry PAD3::pMCD2, as verified by 20 the observation, upon agarose gel-electrophoresis, of fragments of 21.5, 10, 9, 0, 7.6, 7.2, 6.2, 5.6, 5.2, 4.3, 3.1, 2.8, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 1.0, 0.9, 0.9, 0.9, 0.7, 0.5, 0.3 and 0.1 kb after digestion of the plasmid with *Bam*HI.

25

Example 65

Construction of *E. coli* K12 C600/PAD4

Several colonies of *E. coli* C600/PAD3::pMCD2, prepared as described in Example 64, are grown individually in LB broth 30 containing Km for 24 h at 30°C, diluted 1:100 and incubated for further 8 h at 44°C. Appropriate dilutions are plated. Few of the resulting $\text{Km}^R\text{Cm}^S\text{Tc}^S$ colonies are analyzed for

their plasmid content. One colony is found to carry PAD4, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 22, 10, 7.6, 7.2, 6.2, 5.6, 5.2, 3.1, 2.8, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 1.0, 5 0.9, 0.9, 0.7, 0.5, 0.3 and 0.1 kb after digestion of the plasmid with *Bam*HI. After *Dra*I digestion and resolution by PFGE, PAD4 yields fragments of 79, 4.2 and 0.6 kb.

Example 66

10 Construction of *E. coli* K12 C600/PAD4::pMBC1

E. coli C600/PAD4, prepared as described in Example 65, is transformed with ca. 10 ng of plasmid pMBC1, prepared as described in Example 47. The Cm^RKm^R colonies that appear at 30°C on the LB-agar plates are grown at 30°C in LB broth 15 containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the Cm^RKm^R colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their plasmid content. 20 One colony is found to carry PAD4::pMBC1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 22, 10, 9.6, 7.6, 7.2, 6.2, 5.6, 5.2, 3.1, 2.8, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.5, 1.4, 1.3, 1.0, 0.9, 0.9, 0.7, 0.5, 0.3, 0.3 and 0.1 kb after digestion of 25 the plasmid with *Bam*HI.

Example 67

Construction of *E. coli* K12 C600/PAD5

Several colonies of *E. coli* C600/PAD4::pMBC1, prepared as 30 described in Example 66, are grown individually in LB broth containing Km for 24 h at 30°C, diluted 1:100 and incubated for further 8 h at 44°C. Appropriate dilutions are plated.

Few of the resulting $\text{Km}^R \text{Cm}^S \text{Tc}^R$ colonies are analyzed for their plasmid content. One colony is found to carry PAD5, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 22, 10, 7.6, 7.2, 6.2, 5 5.6, 5.2, 3.1, 2.8, 2.7, 1.9, 1.9, 1.8, 1.8, 1.6, 1.4, 1.3, 1.0, 0.9, 0.9, 0.7, 0.5, 0.3, 0.3 and 0.1 kb after digestion of the plasmid with *Bam*HI.

Example 68

10 Construction of *E. coli* K12 C600/PAD5::pMBC2

E. coli C600/PAD5, prepared as described in Example 67, is transformed with ca. 50 ng of plasmid pMBC2, prepared as described in Example 55. The $\text{Cm}^R \text{Km}^R$ colonies that appear at 30°C on the LB-agar plates are grown at 30°C in LB broth containing Km and Cm, aliquots are withdrawn at various times and appropriate dilutions plated. Few of the $\text{Cm}^R \text{Km}^R$ colonies that appear on the LB-agar plates after overnight incubation at 44°C are grown in LB broth containing Km and Cm for 16 h at 44°C and analyzed for their plasmid content. 15 One colony is found to carry PAD5::pMBC2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 65, 33, 5.6, 4.7, 3.4, 2.8, 2.1, 1.2, 1.2, 1.0 and 0.4 kb after digestion of the plasmid with *Hind*III.

20

25 Example 69

Construction of *E. coli* K12 C600/PAD6

Several colonies of *E. coli* C600/PAD5::pMBC2, prepared as described in Example 68, are grown individually in LB broth containing Km for 24 h at 30°C, diluted 1:100 and incubated 30 for further 8 h at 44°C. Appropriate dilutions are plated. Few of the resulting $\text{Km}^R \text{Cm}^S \text{Tc}^S$ colonies are analyzed for their plasmid content. One colony is found to carry the

correct ESAC, designated PAD6, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 47, 46, 8.1, 4.6, 2.2, 0.5 and 0.1 kb after digestion of the plasmid with *Eco*RI. After digestion with *Dra*I and 5 resolution by PFGE, PAD6 yields fragments of 102, 4.2 and 0.6 kb.

Although the present invention is described in the Examples listed above in terms of preferred embodiments, 10 they are not to be regarded as limiting the scope of the invention. The above Examples serve to illustrate the principles and methodologies for assembling pre-existing overlapping segments of DNA into pESAC.

It will occur to those skilled in the art that the 15 cluster of Fig. 9 can be assembled using A-B-C-D fragments other than those specified in the Examples. Any A fragment, such that no useful genes are present to its left (using the orientation of Fig. 9) can be used for assembling the cluster. Similarly, any D fragment, such that no useful 20 genes are present to its right (using the orientation of Fig. 9) can also be used. Furthermore, any fragment common to pRP16 and pRP31, or to pRP31 and pRP58, can be used in place of the fragments B and C, respectively, described above. It will also occur to those skilled in the art that 25 other methods for obtaining these fragments, such as use of different segments from the cluster of Fig. 9, of different restriction endonucleases, or of the PCR, can be used for achieving equivalent results. In addition, intermediate vectors, other than the pUC- series used in the above 30 Examples, can be used for subcloning fragments A through D, and the use of these intermediate vectors is merely instrumental to the transfer of the fragment pairs into the *ts* vector. Some or all of the fragment pairs could

therefore be cloned directly into a *ts* vector.

It will also occur to those skilled in the art that cosmids other than pRP16, pRP31 and pRP58 can be used to achieve equivalent results, provided that they encompass 5 the entire gene cluster and they have overlapping segments. It will also occur to those skilled in the art that pMAK705, Lorist6 and pPAC-S1, are merely examples of *ts*, cosmid and pESAC, respectively. Any of the several cosmid vectors described in the literature, other *ts* replicons 10 derived from pMAK705 or other sources, and any of the pESAC other than pPAC-S1, which include the possible vectors described in Section 7.1, can be used for obtaining equivalent results.

Those skilled in the art understand that the purpose 15 of a *ts* replicon is to select for interplasmid cointegrates at the non-permissive temperature. However, cointegrate formation can occur between any two replicons, and cointegrate can be isolated after transformation of suitable hosts with a plasmid preparation made from an *E.* 20 *coli* cell harboring both replicons. Selection for the antibiotic resistance markers carried by both replicons can lead to the isolation of cointegrates from the resulting transformants.

Furthermore, it will occur to those skilled in the art 25 that the inclusion of the tet marker between the A-B, B-C and C-D fragment pairs serves solely the scope of recognizing insert exchange after resolution of the interplasmid cointegrate. Selectable markers other than tet can be equally effective, as long as they are not present 30 in the vectors. Those skilled in the art understand that the presence of a selectable marker within the fragment cassettes is not absolutely necessary, as insert exchange can be observed by other methods, such as selective

hybridization or PCR. Similarly, different *E. coli* hosts other than those used in the above Examples can be also employed.

It will also occur to those skilled in the art that, 5 as described in Examples 58 through 69, interplasmid insert exchange can be obtained in a sequel independent of the order of the overlapping cosmid clones in the genomic contig. Indeed, the schematic of Fig. 8 illustrates the sequel of interplasmid exchanges A-B, followed by B-C and 10 then by C-D, while Examples 58 through 69 describe the sequel A-B, C-D and last B-C. Furthermore, technical variations on the methodologies employed here can produce equivalent results. All these variations fall within the scope of the present invention.

15 It will occur to those skilled in the art that the principles and methodologies described in Sections 7.2.1 and 7.2.2 are not mutually exclusive. For example, a construct equivalent to PAD6 can be directly isolated by subjecting the producer strain *P. rosea* to the principles 20 and methodologies described in Section 7.2.1. Similarly, selected cosmids from the described *S. coelicolor* library (Redenbach et al., 1996, Mol. Microbiol. 21:77-96) can be used for assembling a large chromosomal segment into pPAC-S1, following the principles and methodologies described in 25 Section 7.2.2. Furthermore, it will occur to those skilled in the art that the principles and methodologies of Section 7.2.1 and 7.2.2 can complement each other. For example, after constructing an ESAC library of *P. rosea* DNA, inserts from individual ESACs may be enlarged by applying the 30 principles and methodologies of Section 7.2.2, using, for example, cosmids overlapping the cognate ESACs.

Those skilled in the art understand that the principles and methodologies described in Section 7.2.2 and

illustrated in schematic form in Fig. 4 are general enough that they can be applied to other strains and clusters. Methods for preparing high molecular weight DNA, for constructing and propagating in *E. coli* an ESAC library can 5 be developed from the principles and methodologies described in Examples 12 through 17. Methods for preparing the appropriate combinations of fragment pairs to yield the starting plasmids described in Fig. 6, can be developed for other clusters following the principles and methodologies 10 described in Examples 19 through 51; methods for assembling an entire cluster into a pESAC can be developed following the principles and methodologies described in Examples 52 through 69. In order to illustrate how the principles and methodologies described in Section 7.2 can be extended to 15 other actinomycete strains producing different natural products, the constructions of ESACs carrying large gene clusters from different producer strains are reported herein. The Examples describe, for each cluster, the selection of the appropriate fragments A, B, C and D; and 20 the construction of the starting plasmids, equivalent to those reported in Fig. 6. These plasmids can then be used to reassemble each cluster according to the scheme of Fig. 8.

The rapamycin gene cluster from *S. hygroscopicus* is 25 contained within three overlapping cosmids designated cos58, cos25 and cos2 (Schwecke et al., 1995, Proc. Natl. Acad. Sci. USA 92:7839-7843). The Examples described herein report the preparation of the appropriate fragments A, B, C and D; the construction of the plasmids containing the A-B, 30 B-C and C-D cassettes; and the cloning approach to obtain constructs equivalent to those reported in Fig. 6.

Example 70

Preparation of the rapamycin fragments A, B, C and D

Primers 5'-TTTTGAATTCGGTACCGCCGACGGCGA-3' (SEQID N°15) and 5'-TTTTGGATCCCTGTTCCACCAGCGCACC-3' (SEQID 16) are used to amplify a 1.2 kb fragment from cos58; primers 5'-TTTTCTAGACCGTCGTCGGTGGTTCTC-3' (SEQID N° 17) and 5'-TTTTGGATCCAGGAAGCCCTGTGCTGTC-3' (SEQID N°18) a 1.2 kb fragment from cos58; primers 5'-TTTTGTAGAGGTCAAGATCCGGGGCAT-3' (SEQID N°19) and 5'-TTTTCTGCAGGACAGCGCCCTTGAGGTG-3' (SEQID N°20) a 1.2 kb fragment from cos25; and primers 5'-TTT-TTCTGCAGGCGACGAAGAGGGGC-3' (SEQID N°21) and 5'-TTTTAAGCTTAGCGCGACCGGGGGCGGT-3' (SEQID N°22) a 0.9 kb fragment from cos2. Fragment A, B, C and D are then digested with *Eco*RI + *Bam*HI, *Bam*HI + *Xba*I, *Xba*I + *Pst*I, and *Pst*I + *Hind*III, respectively.

Example 71Construction of plasmid pUR1

Fragments A and B, prepared as described in Example 70, are ligated to pUC18 digested with *Eco*RI + *Xba*I. The resulting mixture contains the desired plasmid pUR1.

Example 72Construction of *E. coli* K12 DH1/pUR1

Approximately 10 ng of plasmid pUR1, prepared as described in Example 71, are used to transform *E. coli* DH1 and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUR1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 2.4 kb after digestion of the plasmid with *Eco*RI + *Xba*I.

Example 73

Construction of plasmid pUR2

Fragments B and C, prepared as described in Example 70, are ligated to pUC18 digested with *Bam*HI + *Pst*I. The resulting mixture contains the desired plasmid pUR2.

5

Example 74Construction of *E. coli* K12 DH1/pUR2

Approximately 10 ng of plasmid pUR2, prepared as described in Example 73, are used to transform *E. coli* DH1 and a few 10 of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUR2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 2.4 kb after digestion of the plasmid with *Bam*HI + *Pst*I.

15

Example 75Construction of plasmid pUR3

Fragments C and D, prepared as described in Example 70, are ligated to pUC18 digested with *Xba*I + *Hind*III. The 20 resulting mixture contains the desired plasmid pUR3.

Example 76Construction of *E. coli* K12 DH1/pUR3

Approximately 10 ng of plasmid pUR3, prepared as described 25 in Example 75, are used to transform *E. coli* DH1 and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUR3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 30 2.1 kb after digestion of the plasmid with *Eco*RI + *Hind*III.

Example 77Construction of plasmid pUR11

Plasmid pUR1, prepared as described in Example 72 and previously digested with *Bam*HI, and the *tet* fragment, prepared as described in Example 31, are treated with T4 DNA Polymerase and DNA ligase. The ligation mixture 5 contains the desired plasmid pUR11.

Example 78

Construction of *E. coli* K12 DH1/pUR11

Approximately 10 ng of plasmid pUR11, prepared as described 10 in Example 77, are used to transform *E. coli* DH1 and a few of the resulting *Tc*^R*Ap*^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUR11, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 3.9 and 15 2.8 kb after digestion of the plasmid with *Hind*III.

Example 79

Construction of the plasmid pUR21

Plasmid pUR2, prepared as described in Example 74 and 20 previously digested with *Xba*I, and the *tet* fragment, prepared as described in Example 31, are treated with T4 DNA Polymerase and DNA ligase. The ligation mixture contains the desired plasmid pUR21.

25 Example 80

Construction of *E. coli* K12 DH1/pUR21

Approximately 10 ng of plasmid pUR21, prepared as described in Example 79, are used to transform *E. coli* DH1 and a few of the resulting *Tc*^R*Ap*^R colonies that appear on the LB-agar 30 plates are analyzed for their plasmid content. One colony is found to carry pUR21, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 3.9 and 2.8 kb after digestion of the plasmid with *Hind*III.

Example 81Construction of the plasmid pUR31

Plasmid pUR3, prepared as described in Example 76 and 5 digested with *Pst*I, and the tet fragment, prepared as described in Example 31, are treated with T4 DNA Polymerase and DNA ligase. The ligation mixture contains the desired plasmid pUR31.

10 Example 82Construction of *E. coli* K12 DH1/pUR31

Approximately 10 ng of plasmid pUR31, prepared as described in Example 81, are used to transform *E. coli* DH1 and a few 15 of the resulting *Tc*^{R*Ap*^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUR31, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 3.9 and 2.5 kb after digestion of the plasmid with *Hind*III.}

20 Example 83Construction of plasmid pUR13

The 4.0 kb *Eco*RI-*Xba*I fragment obtained from plasmid pUR11, prepared as described in Example 78, and the 2.1 kb *Xba*I-*Hind*III fragment obtained from plasmid pUR3, prepared as 25 described in Example 76, are ligated to pUC18 digested with *Eco*RI + *Hind*III. The ligation mixture contains the desired plasmid pUR13.

Example 8430 Construction of *E. coli* K12 DH1/pUR13

Approximately 10 ng of plasmid pUR13, prepared as described in Example 83, are used to transform *E. coli* DH1 and a few of the resulting *Tc*^{R*Ap*^R colonies that appear on the LB-agar}

plates are analyzed for their plasmid content. One colony is found to carry pUR13, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.9 and 3.9 kb after digestion of the plasmid with *Hind*III.

5

Those of ordinary skill in the art understand that the plasmids constructed above can be used for transferring the two-fragment cassettes present in pUR11, pUR21 and pUR31 into a *ts* vector. This can be achieved by recovering the 10 4.0 kb insert from pUR11, the 4.0 kb insert from pUR21, and the 3.7 kb insert from pUR31, after digestion with *Eco*RI + *Xba*I, *Eco*RI + *Pst*I, and *Eco*RI + *Nde*I, respectively. Similarly, those of ordinary skill in the art understand that the 6.1 kb four-fragment cassette present in plasmid 15 pUR13 can be easily transferred into pPAC-S1 after digestion with *Eco*RI + *Nde*I. These subcloning experiments lead to the formation of plasmids equivalent to those reported in Fig. 6.

As another application of the principles and 20 methodologies of the present invention, the Examples reported below describe the preparation of the appropriate fragments A, B, C and D from the *Sac. erythraea* erythromycin gene cluster. This cluster has been described and is contained within a series of overlapping clones 25 (Tuan et al., 1990, Gene 90:21-29; Donadio et al., 1993, In *Industrial Microorganisms: Basics and Applied Genetics*, Baltz, Hegeman and Skatrud eds., ASM, Washington, DC, pp.257-265; Pereda et al., 1997, Gene 193:65-71). The construction of the plasmids containing the A-B, B-C and C- 30 D cassettes and the cloning approach to obtain constructs equivalent to those reported in Fig. 6 are also described.

Example 85

Preparation of the erythromycin fragment A

Synthetic oligonucleotides 5'-CATGGGAATTCGGGGG-3' (SEQID N°23) and 5'-CCCCCGAATTCC-3' (SEQID N°24) are annealed and ligated to the 1.2 kb *NcoI-BamHI* fragment isolated from 5 cosmid p3B2. The resulting mixture is digested with *EcoRI* + *BamHI*.

Example 86Preparation of the erythromycin fragments B, C and D

10 Primers 5'-TTTTGGATCCGGGGCAGCGGTTGGTTCC-3' (SEQID N°25) and 5'-TTTTTCTAGAAGGCAGCTCCAGATGATC-3' (SEQID N°26) are used to amplify a 1.0 kb fragment from cosmid p3B2; primers 5'-TTTTCTAGACCGGACTCGGCCGGCTCG-3' (SEQID N°27) and 5'-TTTTCTGCAGCCGCACGCCTCGGTGGTC-3' (SEQID N°28) a 1.1 kb 15 fragment from cosmid pS1; and primers 5'-TTTTCTGCAGGGACCCCTGAGTGCAGGTG-3' (SEQID N°29) and 5'-TTTTAAGCTTCAGTAGCCGTCGCTGAGC-3' (SEQID N°30) a 1.1 kb fragment from plasmid pEB6. Fragments B, C and D are then digested with *BamHI* + *XbaI*, *XbaI* + *PstI*, and *PstI* + 20 *HindIII*, respectively.

Example 87Construction of plasmid pUE1

Fragment A, prepared as described in Example 85, and 25 fragment B, prepared as described in Example 86, are ligated to pUC18 digested with *EcoRI* + *XbaI*. The resulting mixture contains the desired plasmid pUE1.

Example 8830 Construction of *E. coli* K12 DH1/pUE1

Approximately 10 ng of plasmid pUE1, prepared as described in Example 87, are used to transform *E. coli* DH1 and a few of the resulting *Ap*^R colonies that appear on the LB-agar

plates are analyzed for their plasmid content. One colony is found to carry pUR1, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 2.2 kb after digestion of the plasmid with *Eco*RI + *Xba*I.

5

Example 89Construction of plasmid pUE2

Fragments B and C, prepared as described in Example 86, are ligated to pUC18 digested with *Bam*HI + *Pst*I. The resulting 10 mixture contains the desired plasmid pUE2.

Example 90Construction of *E. coli* K12 DH1/pUE2

Approximately 10 ng of plasmid pUE2, prepared as described 15 in Example 89, are used to transform *E. coli* DH1 and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUE2, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 20 2.1 kb after digestion of the plasmid with *Bam*HI + *Pst*I.

Example 91Construction of plasmid pUE3

Fragments C and D, prepared as described in Example 86, are 25 ligated to pUC18 digested with *Xba*I + *Hind*III. The resulting mixture contains the desired plasmid pUE3.

Example 92Construction of *E. coli* K12 DH1/pUE3

30 Approximately 10 ng of plasmid pUE3, prepared as described in Example 91, are used to transform *E. coli* DH1 and a few of the resulting Ap^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony

is found to carry pUE3, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 2.7 and 2.2 kb after digestion of the plasmid with *Eco*RI + *Hind*III.

5 Example 93

Construction of plasmid pUE11

Plasmid pUE1, prepared as described in Example 88 and previously digested with *Bam*HI, and the *tet* fragment, prepared as described in Example 31, are treated with T4 10 DNA Polymerase and DNA ligase. The ligation mixture contains the desired plasmid pUE11.

Example 94

Construction of *E. coli* K12 DH1/pUE11

15 Approximately 10 ng of plasmid pUE11, prepared as described in Example 93, are used to transform *E. coli* DH1 and a few of the resulting *Tc*^{R*Ap*^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUE11, as verified by the observation, 20 upon agarose gel-electrophoresis, of fragments of 3.9 and 2.6 kb after digestion of the plasmid with *Hind*III.}

Example 95

Construction of the plasmid pUE21

25 Plasmid pUE2, prepared as described in Example 90 and previously digested with *Xba*I, and the *tet* fragment, prepared as described in Example 31, are treated with T4 DNA Polymerase and DNA ligase. The ligation mixture contains the desired plasmid pUE21.

30

Example 96

Construction of *E. coli* K12 DH1/pUE21

Approximately 10 ng of plasmid pUE21, prepared as described

in Example 95, are used to transform *E. coli* DH1 and a few of the resulting $Tc^R Ap^R$ colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUE21, as verified by the observation, 5 upon agarose gel-electrophoresis, of fragments of 3.7 and 2.7 kb after digestion of the plasmid with *Hind*III.

Example 97

Construction of the plasmid pUE31

10 Plasmid pUE3, prepared as described in Example 92 and digested with *Pst*I, and the *tet* fragment, prepared as described in Example 31, are treated with T4 DNA Polymerase and DNA ligase. The ligation mixture contains the desired plasmid pUE31.

15

Example 98

Construction of *E. coli* K12 DH1/pUE31

20 Approximately 10 ng of plasmid pUE31, prepared as described in Example 97, are used to transform *E. coli* DH1 and a few of the resulting $Tc^R Ap^R$ colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUE31, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 3.8 and 2.7 kb after digestion of the plasmid with *Hind*III.

25

Example 99

Construction of plasmid pUE13

30 The 3.8 kb *Eco*RI-*Xba*I fragment obtained from plasmid pUE11, prepared as described in Example 94, and the 2.2 kb *Xba*I-*Hind*III fragment obtained from plasmid pUE3, prepared as described in Example 92, are ligated to pUC18 digested with *Eco*RI + *Hind*III. The ligation mixture contains the desired plasmid pUE13.

Example 100Construction of *E. coli* K12 DH1/pUE13

Approximately 10 ng of plasmid pUE13, prepared as described 5 in Example 99, are used to transform *E. coli* DH1 and a few of the resulting Tc^RAp^R colonies that appear on the LB-agar plates are analyzed for their plasmid content. One colony is found to carry pUE13, as verified by the observation, upon agarose gel-electrophoresis, of fragments of 4.8 and 10 3.9 kb after digestion of the plasmid with *Hind*III.

Those of ordinary skill in the art understand that the plasmids constructed above can be used for transferring the two-fragment cassettes present in pUE11, 15 pUE21 and pUE31 into a *ts* vector. This can be achieved by recovering the 3.8 kb insert from pUE11, the 3.7 kb insert from pUE21, and the 3.8 kb insert from pUE31, after digestion with *Eco*RI + *Xba*I, *Eco*RI + *Pst*I, and *Eco*RI + 20 *Nde*I, respectively. Similarly, those of ordinary skill in the art understand that the 6.0 kb four-fragment cassette present in plasmid pUE13 can be easily transferred into pPAC-S1 after digestion with *Eco*RI + *Nde*I. These subcloning experiments lead to the formation of plasmids equivalent to 25 those reported in Fig. 6.

25 The Examples reported above describe the principle and methodologies for assembling the erythromycin gene cluster into the pPAC-S1. It will occur to those skilled in the art that the principles and methodologies illustrated in Fig. 7 and described in Examples 52 through 69 can be applied to 30 the erythromycin gene cluster, employing the pMAK705 derivatives constructed according to the principles described above and the erythromycin cosmids.

As a further example, the preparation of the

appropriate fragments A, B, C and D from the *A. mediterranei* rifamycin gene cluster is illustrated below. This cluster has been described and is contained within a series of overlapping clones (August et al., 1998, *Chem. 5 Biol.* 5:69-79).

Example 101

Preparation of the rifamycin fragments A, B, C and D

Primers 5'-TTTTGAATTCTGCAGACCGCCGAGGAAG-3' (SEQID N°31)
 10 and 5'-TTTTGGATCCGGAGTCGTAGCTGACGAC-3' (SEQID N°32); 5'-
 TTTGGATCCGACCACGCAGGGACGTC-3' (SEQID N°33) and 5'-
 TTTTTCTAGACCAGGAACCCGTGCTGC-3' (SEQID N°34); 5'-
 TTTTTCTAGACGGAAGCTCGCCGCGATC-3' (SEQID N°35) and 5'-
 15 TTTTTCTGCAGGTCCGTAGCCGGACACC-3' (SEQID N°36); and 5'-
 TTTTCTGCAGTTCGGGCGACAGTTCTT-3' (SEQID N°37) and 5'-
 TTTTAAGCTTCAACAAGCCATCCGGTC-3' (SEQID N°38), are used to
 amplify fragments of 1.2, 1.2, 1.2 and 1.0 kb,
 respectively, from *A. mediterranei* genomic DNA. Fragments
 A, B, C and D are then digested with *Eco*RI + *Bam*HI, *Bam*HI +
 20 *Xba*I, *Xba*I + *Pst*I, and *Pst*I + *Hind*III, respectively.

Those of ordinary skills in the art understand that the fragments generated from the rifamycin gene cluster contain the same restriction sites as those generated from the rapamycin and erythromycin gene clusters, so that the same cloning strategies for generating the pUC18 derivatives containing the A-B, B-C and C-D cassettes, described above in Examples 72-77 for the rapamycin cluster and 88-93 for the erythromycin cluster, can also be applied to the rifamycin cluster. In addition, the rifamycin fragments A, B, C and D have been selected so that the same cloning methodologies described above for inserting tet within the A-B, B-C and C-D cassettes from the rapamycin

and erythromycin clusters, described in Examples 78-83 and 94-99, respectively, can be applied in this instance as well. Furthermore, the construction of the four-fragment cassette can also make use of the same cloning strategy. 5 Therefore, following the same principles and methodologies described in detail for the rapamycin and erythromycin clusters in Examples 72-85 and 88-101, respectively, plasmids equivalent to those reported in Fig. 6 can be constructed for assembling the rifamycin cluster into the 10 pPAC-S1. It will occur to those skilled in the art that the principles and methodologies illustrated in Fig. 7 and described in Examples 52-69 for the *P. rosea* cluster can be applied to any gene cluster, once the appropriate pMAK705 derivatives have been constructed, employing available 15 overlapping clones.

Thus, as schematized in Fig. 7, interplasmid insert exchange can be conducted between any plasmid containing the desired region and the cognate *ts* construct. Plasmids corresponding to pAB2, pBC2 and pCD2 can therefore be 20 derived from any cluster. Similarly, the principles and methodologies illustrated in Fig. 8 can be applied employing the appropriate A-B-C-D cassette and the cognate pMAK705 derivatives prepared according to the scheme of Fig. 7. The principles and methodologies illustrated in 25 Fig. 7 and Fig. 8 and described in Examples 52-69 can therefore be extended to other clusters.

It will occur to those skilled in the art that, although illustrated in Fig. 5 through 8 by three overlapping clones and described in the Examples 58 through 30 69 by the use of five rounds of interplasmid insert exchange, the principles and methodologies described in this section of the present invention can be extended to a different number of overlapping clones. If n is the number

of overlapping clones that encompass the desired genomic segment, n will also be the number of homologous recombination rounds that introduce cluster DNA into the pESAC. If an Ab^R marker is used to facilitate monitoring 5 insert exchange, the total number of rounds of homologous recombination will be equal to $2n - 1$. Interplasmid homologous recombination has been described to introduce large DNA segments into a desired vector (O'Connor et al., 1989, Science 244:1307-1312; Kao et al., 1994, Science 10 265:509-512) or to target a smaller segment into a large episome (Yang et al., 1997, Nature Biotechnol. 15:859-865). However, it was not be anticipated that these procedures could be applied iteratively for the precise reconstruction 15 of very large DNA segments.

15

7.3 Identification of positive clones

The principles and methodologies described in Section 7.2 for obtaining an entire gene cluster in a pESAC rely on the 20 identification of the desired genomic segment. When using the principles and methodologies described in Section 7.2.1, the desired clones are identified by screening an ESAC library with one of the possible strategies described below. When using the principles and methodologies 25 described in Section 7.2.2, the desired clones are identified in a genomic library, such as a cosmid library, with one of the possible strategies described below, and then assembled into pESAC. The principles and methodologies for identifying the genes responsible for the biosynthesis 30 of natural products are well described in the literature and are reported here solely to illustrate the fact that they represent a necessary step in the overall scope of the present invention.

The genes involved in the biosynthesis of natural

products in actinomycetes are invariably found as gene clusters in the chromosome of the producing organism, often associated with one or more resistance determinants. Consequently, identifying one gene allows ready access to 5 all the others. One or more genes responsible for the biosynthesis of a natural product could have been described, or the entire cluster could be known. Several biosynthesis clusters from actinomycetes have been reported and other clusters are likely to be described in the 10 future. Suitable probes from the cluster extremities can be derived from published clusters, when available. Thus, fragments A and D, described in Example 70, can be used as probes to screen an ESAC library prepared from *S. hygroscopicus* DNA. ESACs positive to both probes will 15 contain the rapamycin cluster. Similar strategies can be applied to ESAC libraries prepared from *Sac. erythraea* and *A. mediterranei* DNA, screened with fragments A and D, prepared as described in Examples 85-86 and 101, respectively.

20 If no biosynthesis genes are known, different strategies for identifying them can be applied. These strategies are well described in the literature and are summarized below. One possible strategy involves the isolation of the resistance gene(s) after cloning in a 25 heterologous host that is sensitive to that natural product (for example, Stanzak et al., 1986, Bio/Technol. 4:229-232). Another possible strategy is based on reverse genetics: a particular biosynthetic enzyme is purified, and from its partial protein sequence(s) the corresponding gene 30 is isolated via PCR or hybridization (for example, Fishman et al., 1987, Proc. Natl. Acad. Sci. USA 84:8248-8252). Another approach relies on the complementation of mutants blocked in one or more biosynthesis steps, after

introduction of a DNA library constructed in a suitable vector into the wild type strain (for example, Malpartida and Hopwood, 1984, *Nature* 309:462-464). Another approach involves the construction of an expression library in a suitable vector in an appropriate host, where the gene product is sought after using specific antibodies or looking for a particular enzymatic activity (for example, Jones and Hopwood, 1984, *J. Biol. Chem.* 259:14151-14157). Another possible approach makes use of heterologous probes derived from biosynthesis, resistance or regulatory genes. Natural products can be broadly grouped into classes according to their biosynthetic origin, and for many of them suitable probes are available. For example, genes encoding aromatic or modular polyketide synthases can be effectively identified through the use of heterologous hybridization probes (Malpartida et al., 1987, *Nature* 325:818-821; Schwecke et al., 1995, *Proc. Natl. Acad. Sci. USA* 92:7839-7843); suitable probes have been reported for peptide synthetase genes (Turgay and Marahiel, 1994, *Pept. Res.* 7:238-241); for genes involved in the formation or attachment of modified sugars (Decker et al., 1996, *FEMS Microbiol. Lett.* 141:195-201). As the understanding of the genetics of natural product biosynthesis increases, other heterologous probes will become available.

The size of clusters can be estimated from those of known clusters involved in the synthesis of structurally similar natural products. For examples, synthesis of macrolides is expected to require clusters in the 60-70 kb range (Katz and Donadio, 1993, *Annu. Rev. Microbiol.* 47:875-912; Kuhstoss et al., 1996, *Gene* 183:231-236); synthesis of glycopeptides, clusters in the 70 kb range (van Wageningen et al., 1998, *Chem. Biol.* 5:155-162). In instances where no clusters have been described for the

same structural class of natural products, the size of the relevant cluster can be estimated from considerations about its known or likely biosynthesis route. Once the desired cluster has been identified, its extent can be established 5 by analysis of the DNA sequence of the cloned cluster or of parts thereof. Comparison of the DNA sequence to databases can allow the identification of the likely borders of the gene cluster.

Employing the above mentioned approaches, the desired 10 gene cluster can be identified in any library. If an ESAC library is used, the identified cluster is ready for transfer into the production host. If a smaller fragment library is employed, the cluster can be assembled into a pESAC.

15 Those skilled in the art understand that, when an ESAC library from a donor organism is constructed, any ESAC can be selected from said library and transferred into a production host. Therefore, a single donor organism can be utilized as the source of several biosynthesis clusters 20 that can be mobilized into a production host. Similarly, an ESAC library needs not be constructed from a single donor organism.

7.4 Transformation of a *Streptomyces* host

25 Once the desired gene cluster has been introduced into a pESAC, one or more ESACs are introduced into a suitable *Streptomyces* host. This is accomplished by employing published procedures for transformation of *Streptomyces*. Only minor modifications from established procedures 30 (Hopwood et al., 1985, *Genetic Manipulation of Streptomyces: A Laboratory Manual*, The John Innes Foundation, Norwich, UK) are required for obtaining a sufficient number of transformants. Because transformations

are performed with single, purified ESACs, transformation efficiencies do not need to be particularly high. The Examples reported below illustrate the principles and methodologies for introducing ESACs into *S. lividans*. They 5 serve to describe the present invention and are not meant to restrict its scope. *Streptomyces* transformants are selected for Th^R, specified by the *tsr* marker present in the pESAC. Since the incoming DNA is incapable of self-replication in *Streptomyces*, site-specific integration 10 occurs at the chromosomal *attB* site, mediated by the *int-attP* function specified by the pESAC. That integration has occurred at the proper site can be verified by Southern hybridization or by PFGE analysis of the transformants. Fig. 10 illustrates a PFGE separation of a *S. lividans* 15 derivative carrying an ESAC with a 70 kb insert integrated into its chromosome.

Example 102

Introduction of ESACs into *S. lividans* ZX7

20 A few hundred ng of three individual ESACs, prepared as described in Example 17 and carrying inserts of *S. coelicolor* DNA of 70, 120 and 140 kb (designated ESAC-70, ESAC-120, and ESAC-140, respectively), are used to transform protoplasts of *S. lividans* ZX7. The colonies that 25 appear on the R2YE plates, after overlaying with Th, are analyzed for their Th^R by streaking them on fresh R2YE plates.

Example 103

Cultivation and preservation of *S. lividans* ZX7/ESAC

Individual colonies of *S. lividans* ZX7 transformants with the individual ESACs, prepared as described in Example 102,

are grown for several passages in solid medium without and with Th. Spore suspension, or mycelium prepared after cultivation in JM or YEME medium with Th, are stored at -80°C after addition of glycerol to 20% (v/v).

5

Example 104

Characterization of *S. lividans* ZX7 *attB::ESAC-70*

Individual colonies of *S. lividans* ZX7 *attB::ESAC-70*, prepared as described in Example 102, are grown in YEME and 10 total genomic DNA is prepared. The DNA is digested with *Bam*HI, resolved by agarose gel-electrophoresis, and transferred onto a membrane. Hybridization to labeled pPAC-S1 DNA, prepared as described in Example 11, reveals the appearance of three bands of approximately 16, 8 and 2.7 15 kb. PFGE analysis of genomic DNA reveals the disappearance of a 2.5 Mb *Dra*I fragment present in ZX7 and the appearance of two fragments of 1.4 and 1.1 Mb (Fig. 10).

Although the present invention is described in the 20 Examples listed above in terms of preferred embodiments, they are not to be regarded as limiting the scope of the invention. The above Examples serve to illustrate the principles and methodologies for introducing ESACs into *S. lividans*, for cultivating the resulting transformants and 25 for confirming their genotype. The above Examples serve to illustrate the principles and methodologies for the transformation of *S. lividans* with ESACs carrying DNA inserts from a different species. It will occur to those skilled in the art that additional ESACs, either containing 30 different inserts of *S. coelicolor* DNA, prepared as described in Example 17, or carrying DNA inserts from other actinomycetes can be used to transform *S. lividans* ZX7. As

another example transfer of large DNA segments, the transformation of *S. lividans* with a *P. rosea* gene cluster is illustrated below. Confirmation of the correct genotype of the resulting transformants is illustrated in Fig. 11.

5

Example 105

Construction *S. lividans* ZX7 attB::PAD6

A few hundred ng of PAD6, prepared as described in Example 69, are used to transform protoplasts of *S. lividans* ZX7.

10 The colonies that appear on the R2YE plates, after overlaying with Th, are analyzed for their Th^R by streaking them on fresh R2YE plates.

Example 106

15 Characterization of *S. lividans* ZX7 attB::PAD6

Individual colonies of *S. lividans* ZX7 attB::PAD6, prepared as described in Example 105, are grown in YEME medium and total DNA is prepared. The DNA is digested with *Bam*HI, resolved by agarose gel-electrophoresis and transferred 20 onto a membrane. Hybridization to labeled PAD6 is illustrated in Fig. 11. Bands of 22, 10, 7.6, 7.2, 6.2, 5.6, 5.2, 3.1, 3.0, 2.8, 2.7, 2.6, 2.5, 2.1, 1.9, 1.9, 1.8, 1.6, 1.5, 1.4, 1.2, 1.0, 1.0, 0.9, 0.9, 0.9, 0.7, 0.6, 0.5, 0.5, 0.3 and 0.1 kb. The profile of *P. rosea* DNA is shown 25 for comparison.

Those skilled in the art understand that *S. lividans* ZX7 attB::PAD6 contains the expected number and size of bands expected from transfer of the cluster of Fig. 9 via 30 PAD6. In analogy to the above Examples, the rapamycin, erythromycin and rifamycin clusters assembled in pESAC, according to the principles and methodologies described in Section 7.2.2, can be used to transform *S. lividans*. It

will occur to those skilled in the art that other *S. lividans* strains can be equally used as hosts for transformation with ESACs. Furthermore, Φ C31 can lysogenize other *Streptomyces* species, in addition to *S. lividans*.
5 These include but are not limited to the species reported in Table 2. Furthermore, a Φ C31 attB site may be engineered into *Streptomyces* species or other actinomycetes that are not naturally lysogenized by phage Φ C31. Therefore, any ESAC, prepared according to the principles and
10 methodologies of Section 7.2, and any natural or engineered actinomycete host, fall within the scope of the present invention.

It will occur to those skilled in the art that alternative methods for introducing DNA into an actinomycete host can be employed. These include but are not limited to electroporation (MacNeil, 1989, FEMS Microbiol. Lett. 42:239-244) and conjugation from *E. coli* (Mazodier et al., 1989, J. Bacteriol. 171:3583-3585). It will also occur to those skilled in the art that alternative media and growth conditions can be employed for cultivating the transformants, and that they can be analyzed by different methods than those described above. Technical variations on the methodologies described above can produce equivalent results. All these variations fall within the scope of the present invention.

Table 2

5 List of exemplary species of *Streptomyces* and other genera
of *Actinomycetales* allowing *attP*-mediated integration of
ΦC31 (Hopwood et al., 1985, Genetic Manipulation of
Streptomyces: A Laboratory Manual, The John Innes
Foundation, Norwich, UK; Lomovskaya et al., 1997,
10 *Microbiol.* 143:875-883; Kuhstoss et al., 1991, *Gene* 97:143-
146; Soldatova et al., 1994, *Antibiot. Khimioter.* 39:3-7).

Streptomyces coelicolor

15 *Streptomyces lividans*
Streptomyces hygroscopicus
Streptomyces bambusicola
Streptomyces ambofaciens
Streptomyces griseofuscus
20 *Streptomyces lipmanii*
Streptomyces thermotolerans
Streptomyces clavuligerus
Streptomyces fradiae
Saccharopolyspora spinosa

7.5 Growth of the recombinant *Streptomyces* and metabolite production

When an ESAC, introduced into a production host according to the principles and methodologies described in Section 5 7.4, carries the entire biosynthesis gene cluster derived from a donor organism, the recombinant strain produces the relevant natural product. Naive actinomycete hosts have been shown to produce the appropriate natural product or its intermediate(s) when the relevant DNA was introduced 10 into them (Malpartida and Hopwood, 1984, *Nature* 309:462-464; Hong et al., 1997, *J. Bacteriol.* 179:470-476; Kao et al., 1994, *Science* 265:509-512). Thus, transformants of 15 *Streptomyces* and other actinomycete species carrying the relevant biosynthesis clusters are expected to produce the corresponding natural product. The recombinant production hosts are cultivated in a suitable medium and the presence 20 of the relevant metabolites is determined following appropriate procedures, which may include biological assays, chromatographic properties, MS, NMR, etc.

It will occur to those skilled in the art that ESACs, containing the relevant biosynthesis cluster derived from any donor actinomycete, can be used to transform *S. lividans*. The resulting transformants will produce the corresponding natural product. For example, an ESAC 25 carrying the rapamycin, erythromycin or rifamycin cluster, prepared according to the principles of Section 7.2, can be used to transform *S. lividans* and rapamycin, erythromycin or rifamycin, respectively, can be produced by the resulting recombinant strain. Furthermore, it will occur to 30 those skilled in the art that other *Streptomyces* or actinomycete strains that naturally contain or have been engineered to contain a phage Φ C31 *attB* site, can be used

as production hosts for desired natural products. Therefore, any natural product produced after introduction of the relevant cluster carried on ESAC into a suitable production host, falls within the scope of the present 5 invention.

The present invention describes principles and methodologies for optimizing and speeding up the process of lead optimization and development in drug discovery. These can be applied since the early stages of drug discovery as 10 briefly summarized herein. A natural product produced by a donor organism has an interesting property, such as antibacterial, antifungal, antitumor, antihelmintic, herbicidal, immunosuppressive, or other pharmacological activity. The potential is seen for increasing the 15 productivity of the producing organism, and/or for improving the biological or physico-chemical properties of said natural product after manipulating its structure. The biosynthetic pathway for the natural product is inferred from its chemical structure. This leads to a hypothesis on 20 the genes involved, including the approximate size of the corresponding cluster. A large insert library is constructed in the pESAC vectors described herein using genomic DNA prepared from the donor organism. Through a judicious choice of hybridization probes and PCR primers, 25 the desired cluster is identified in the library. Alternatively, the cluster is assembled into the pESAC vectors described herein from overlapping cosmid clones identified by hybridization as above. The selected clone(s) are transferred into *S. lividans*, *S. coelicolor* or other 30 suitable strain, and the resulting transformants are evaluated for production of the natural product.

Once production is obtained, the desired genetic, physiological and technological manipulations can be

performed on the production host, employing well-developed methodologies. The bioactive molecule is purified from a known host, amid a background of known metabolites. If necessary, *ad hoc* mutations can be conveniently introduced 5 in the production host to eliminate unwanted, interfering products. Because of the deeper knowledge on the physiological processes and regulatory networks for secondary metabolism in the production host compared to the donor organism, targeted approaches to strain improvement, 10 using classical and molecular techniques, can be applied. Furthermore, well-characterized mutant strains are available for the production host, and the desired ESAC could be easily introduced into different genetic backgrounds. In addition, the biosynthetic pathway can be 15 easily manipulated, because of the availability of the cloned genes and the efficient genetic tools for the production host. Finally, additional specialized genes or even entire clusters can be introduced into the production host, further expanding the possible applications of the 20 present invention.

As it is apparent from the above description, a further object of this invention is to provide a process for the production of a natural product by cultivating an actinomycete strain capable of producing said natural 25 product in the presence of a nutrient medium, isolating and purifying said natural product, characterized in that the actinomycete strain capable of producing said natural product (production host) is an actinomycete strain modified by means of an *E. coli*-*Streptomyces* Artificial 30 Chromosome that carries a gene cluster governing the biosynthesis of said natural product derived from an actinomycete donor organism which is the original producer of said natural product, according to the method described

herein. Preferably, said modified actinomycete strain shall be a *Streptomyces lividans* or *Streptomyces coelicolor* strain.

Finally, even in a case where the natural product may 5 not be made by the production host after transfer of the relevant cluster, appropriate tools are available to remedy that situation. Lack of production of the expected natural product might be due to several possibilities: absence of required gene(s); DNA, gene product or natural product 10 instability; inadequate levels of gene expression or of appropriate precursors. In a well-defined production host, each of these possible causes may be directly investigated and remedied.

Therefore, the present invention provides significant 15 advantages over the existing process of drug discovery and development, including production. It exploits the fact that the host where the natural product will be produced is an organism commonly used for process development and genetic manipulation, with substantial information 20 available, including safety for handling it.

CLAIMS

We claim:

- 1) A method for transferring the production of a natural product from an actinomycete donor organism that is the original producer of said natural product to a different actinomycete host, where this transfer is achieved by means of an *E. coli*-*Streptomyces* Artificial Chromosome that carries a gene cluster governing the biosynthesis of said natural product derived from said donor organism.
- 2) A method as in claim 1 comprising the steps of:
 - (a) isolating large fragments of chromosomal DNA of the actinomycete donor organism of a size which encompasses the gene cluster that directs the biosynthesis of the natural product;
 - (b) constructing a suitable vector capable of accomodating said large fragments of chromosomal DNA and of introducing and stably maintaining said large fragments of DNA into an *E. coli* host;
 - (c) constructing an *E. coli*-*Streptomyces* Artificial Chromosome by inserting said large fragments of chromosomal DNA of step (a) into the above said vector of step (b) and selecting the *E. coli*-*Streptomyces* Artificial Chromosome comprising the entire gene cluster construct that directs the biosynthesis of the above said natural product;
 - (d) transforming an actinomycete host different from the donor actinomycete host with the *E. coli*-*Streptomyces* Artificial Chromosome of step (c) that carries the gene cluster governing the biosynthesis of said natural product wherein the actinomycete host carries a region which is specific for the integration of the *E. coli*-

Streptomyces Artificial Chromosome.

3) A process as in claim 2 wherein the large fragments of genomic DNA of the actinomycete donor organism of step (a) are obtained by partial digestion of the chromosomal 5 DNA of said actinomycete donor organism.

4) A process as in claim 2 wherein the large fragments of the genomic DNA of step (a) are obtained by reconstruction through interplasmid homologous recombination from a set of pre-existing smaller segments 10 of partially overlapping DNA cloned from the genome of the actinomycete donor organism, which set of segments encompass the entire gene cluster that directs the biosynthesis of said natural product.

5) A process as in claim 2, 3 or 4 wherein the suitable vector of step (b) contains an *int-attP* region, where the *int* insert preferably derives from phage Φ C31.

6) A process as in claim 5 wherein the suitable vector of step (b) is the plasmid pPAC-S1 or pPAC-S2 (Fig. 2).

7) A process as in claim 2 wherein the *E. coli*-
20 *Streptomyces Artificial Chromosome* is the plasmid pPAC-S1 or pPAC-S2 according to claim 6 modified by insertion of the entire gene cluster that directs the biosynthesis of the natural product.

8) A process as in claim 5 wherein the integration of
25 the *E. coli-Streptomyces Artificial Chromosome* into the actinomycete host occurs at the *attB* site carried by said actinomycete host and is mediated by the *int-attP* function specified by the *E. coli-Streptomyces Artificial Chromosome*

9) A process as in claim 2, 3, 4, 5, 6, 7 or 8 wherein
30 the actinomycete host is a *Streptomyces lividans* strain.

10) An actinomycete production host that is constructed from an actinomycete host by transfer of a cluster from a donor organism according to claim 1 or 2.

11) An actinomycete production host as in claim 10 that is a *Streptomyces lividans* strain.

12) A modified strain obtained by genetic, physiological or technological manipulation of a production host of claim 10.

13) A modified production host as in claim 12 that is a *Streptomyces lividans* strain.

14) An *E. coli*-*Streptomyces* Artificial Chromosome that carries a gene cluster directing the biosynthesis of a natural product.

15) An *E. coli*-*Streptomyces* Artificial Chromosome of claim 14 that contains an *int-attP* region and a selection marker.

16) An *E. coli*-*Streptomyces* Artificial Chromosome of claim 15 that is the vector pPAC-S1 modified by insertion of a gene cluster directing the biosynthesis of a natural product.

17) An *E. coli*-*Streptomyces* Artificial Chromosome of claim 15 that is the vector pPAC-S2 modified by insertion of a gene cluster directing the biosynthesis of a natural product.

18) An *E. coli*-*Streptomyces* Artificial Chromosome as in claim 14 that is the construct PAD6.

19) An actinomycete production host as in claim 10 that carries the construct PAD6.

20) An actinomycete production host as in claim 19 that is a *Streptomyces lividans* strain.

21) A modified production host that is obtained by genetic, physiological or technological manipulation of the production host of claim 20.

22) An *E. coli*-*Streptomyces* Artificial Chromosome as in claim 14 that carries a gene cluster from *Planobispora*

rosea

23) An actinomycete production host as in claim 10 that carries a gene cluster from *Planobispora rosea*.

24) An actinomycete production host as in claim 10 that contains the *E. coli-Streptomyces* Artificial Chromosome carrying the rapamycin gene cluster.

25) An actinomycete production host as in claim 24 that is a *Streptomyces lividans* strain.

26) A modified production host obtained by genetic, 10 physiological or technological manipulation of the production host of claim 24.

27) A modified production host as in claim 26 that is a *Streptomyces lividans* strain.

28) An *E. coli-Streptomyces* Artificial Chromosome as 15 in claim 14 that carries the rapamycin gene cluster.

29) An *E. coli* *Streptomyces* Artificial Chromosome as in claim 28 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster directing the biosynthesis of rapamycin.

20 30) An actinomycete production host as in claim 10 that contains the *E. coli-Streptomyces* Artificial Chromosome carrying the erythromycin gene cluster.

31) An actinomycete production host as in claim 30 that is a *Streptomyces lividans* strain.

25 32) A modified production host obtained by genetic, physiological or technological manipulation of the production host of claim 30.

33) A modified production host as in claim 32 that is a *Streptomyces lividans* strain.

30 34) An *E. coli-Streptomyces* Artificial Chromosome as in claim 14 that carries the erythromycin gene cluster.

35) An *E. coli-Streptomyces* Artificial Chromosome as

in claim 34 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster directing the biosynthesis of erythromycin.

36) An actinomycete production host as in claim 10
5 that contains the *E. coli-Streptomyces* Artificial Chromosome that carries the rifamycin gene cluster.

37) An actinomycete production host as in claim 36 that is a *Streptomyces lividans* strain.

38) A modified production host obtained by genetic,
10 physiological or technological manipulation of the production host of claim 36.

39) A modified production host as in claim 38 that is a *Streptomyces lividans* strain.

40) An *E. coli-Streptomyces* Artificial Chromosome as
15 in claim 14 that carries the rifamycin gene cluster.

41) An *E. coli-Streptomyces* Artificial Chromosome as in claim 40 that is the vector pPAC-S1 or pPAC-S2 modified by insertion of the gene cluster that direct the biosynthesis of rifamycin.

42) A process for the production of a natural product
20 by cultivating an actinomycete strain capable of producing said natural product in the presence of nutrient medium, isolating and purifying said natural product, characterized in that the actinomycete strain capable of producing said natural product is a an actinomycete production host
25 obtained according to the method of claim 1 or 2.

43) A process as in claim 42 wherein the actinomycete production host is a *Streptomyces lividans* or *Streptomyces coelicolor* strain.

44) A process as in claim 42 wherein the production host is one of those described in any of claims 23, 24, 25, 26, 27, 30, 31, 32, 33, 36, 37, 38 or 39.

45) A process as in claim 42, for the production of a

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natural product selected from rapamycin, erythromycin and rifamycin.

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International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| | | | |
|---|--|---|---|
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| (74) Agents: SGARBI, Renato et al.; Ing. A. Giambrocono & C. S.r.l., Via Rosolino Pilo, 19/B, I-20129 Milan (IT). | | | |
| (54) Title: METHODS FOR TRANSFERRING THE CAPABILITY TO PRODUCE A NATURAL PRODUCT INTO A SUITABLE PRODUCTION HOST | | | |
| (57) Abstract <p>The present invention provides a system for producing and modifying natural products produced by a large group of bacteria for the purpose of drug discovery, development and production. The method of the invention transfers the ability to produce a secondary metabolite from an actinomycete that is the original producer of the natural product, to a different production host that has desirable characteristics. The system involves the construction of a segment of the chromosome of the original producer in an artificial chromosome that can be stably maintained in a suitable production host. The present invention relates to recombinant DNA vectors useful for shuttling the genetic information necessary to synthesize a given natural product between a donor organism and a production host. The methods of the invention are useful in improving the yield, the purification process and for structural modification of a natural product.</p> | | | |
| <pre>graph TD A[donor organism] --> B[preparation of hmw DNA cloning into ESAC vector] B --> C[ESAC library in E. coli] C --> D[selective probes] D --> E[ESAC clones] E --> F[production host] F --> G[product]</pre> | | | |

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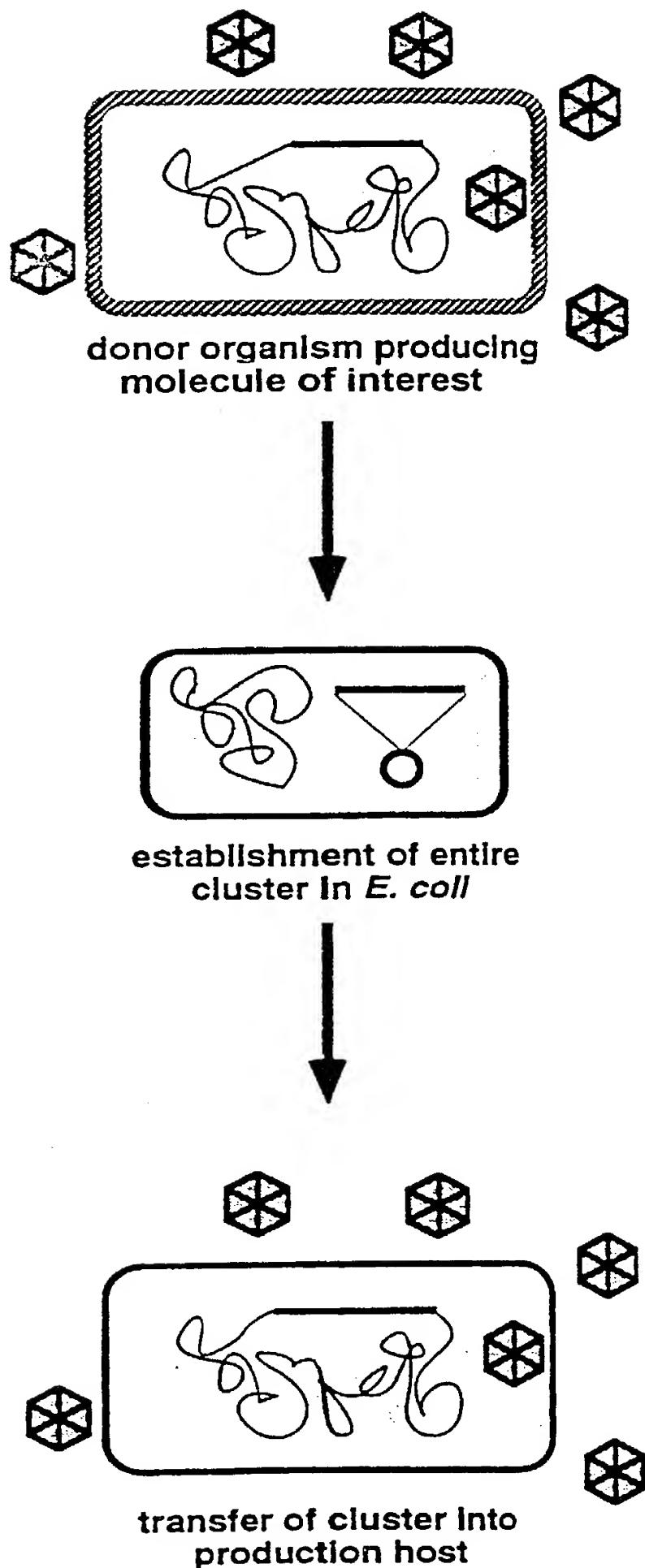


Fig. 1

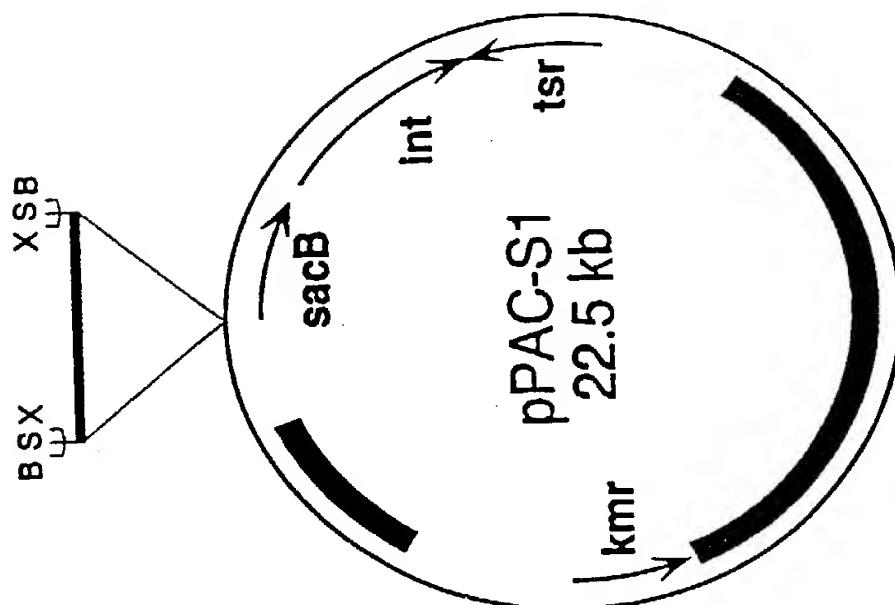
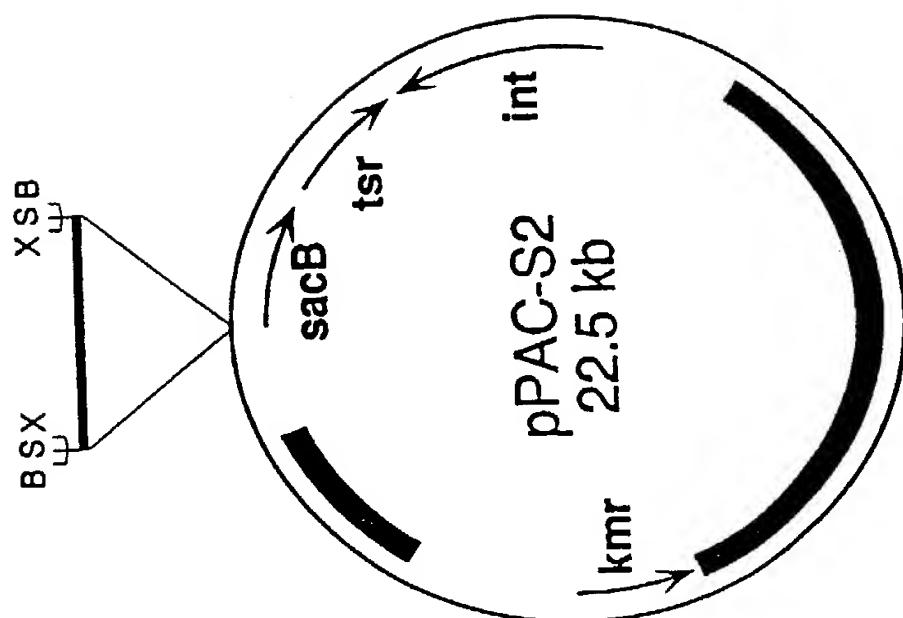


Fig. 2

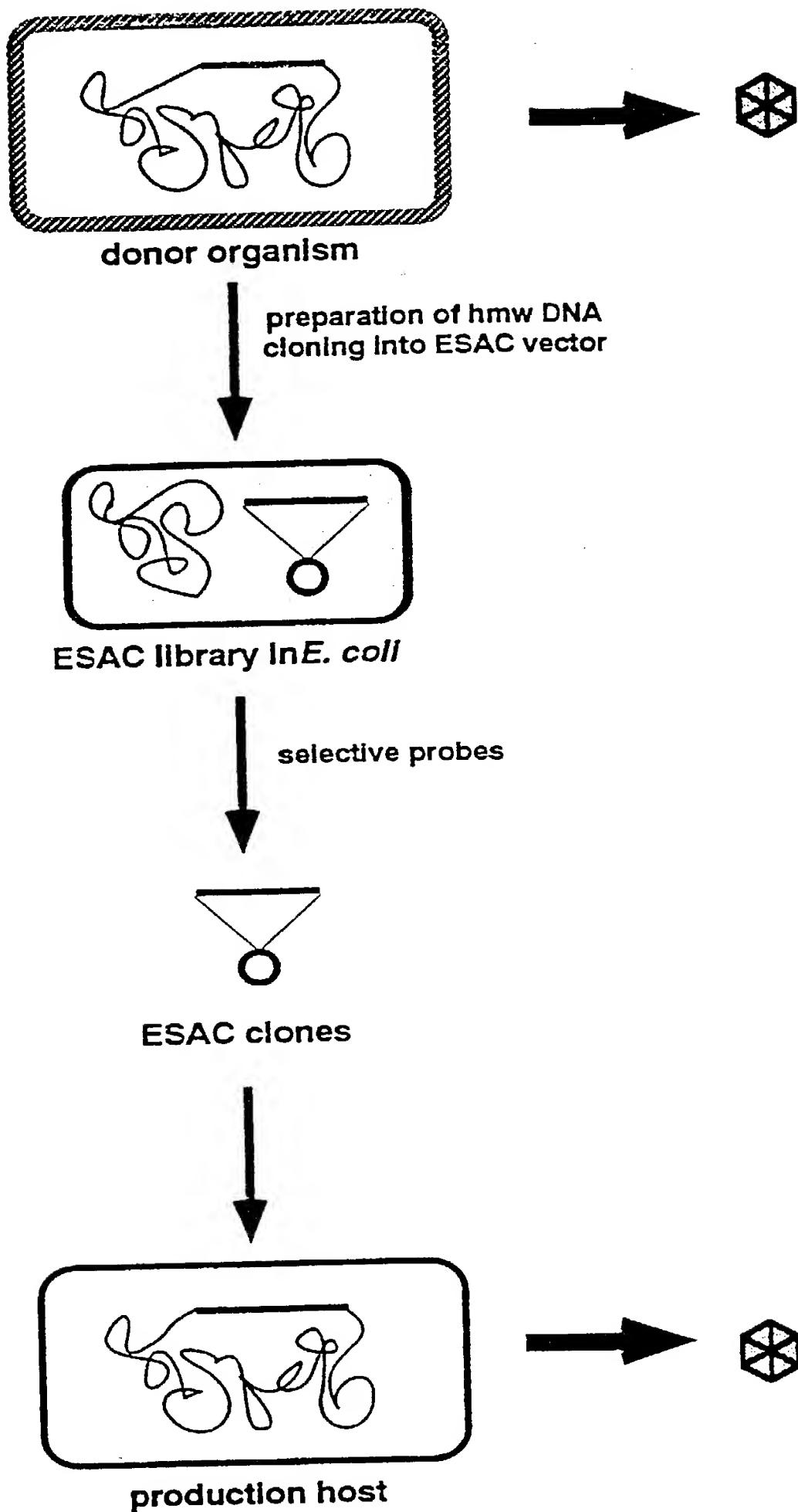


Fig. 3

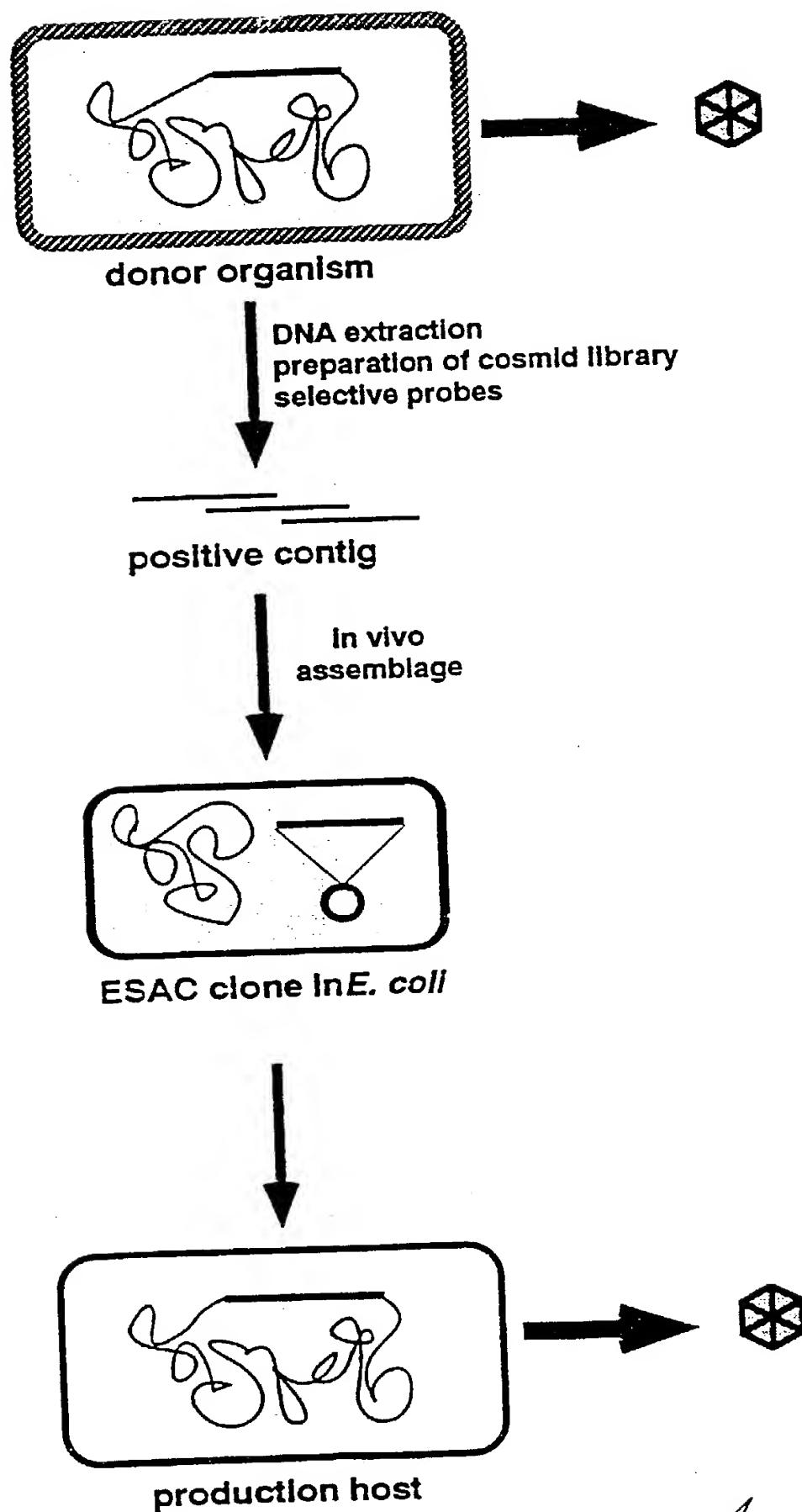


Fig. 4

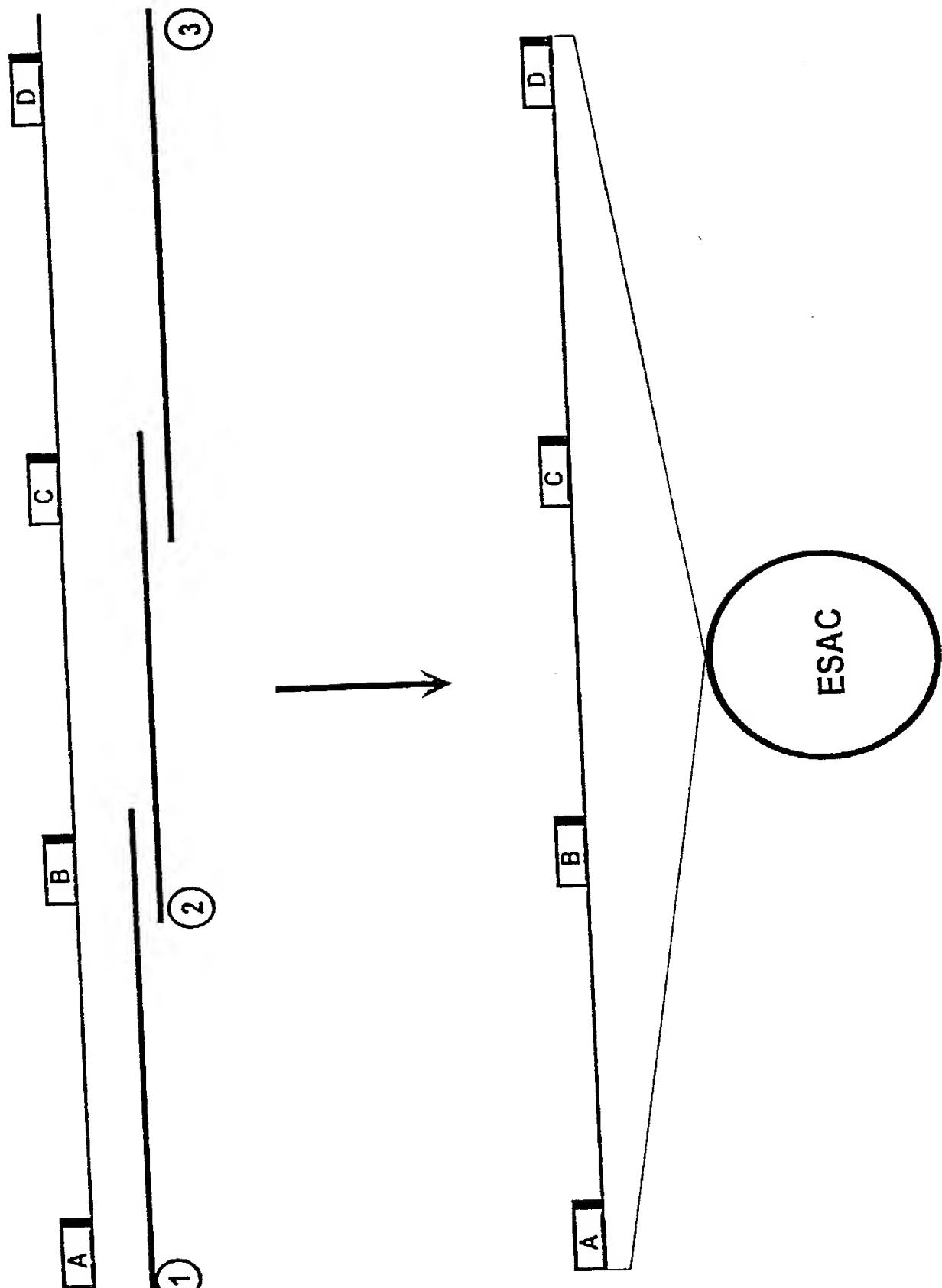


Fig. 5

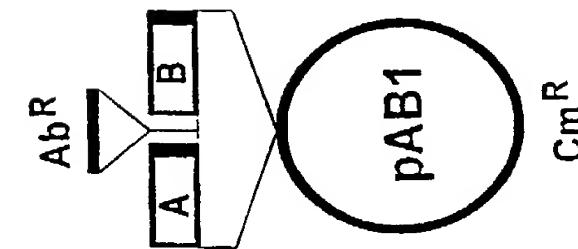
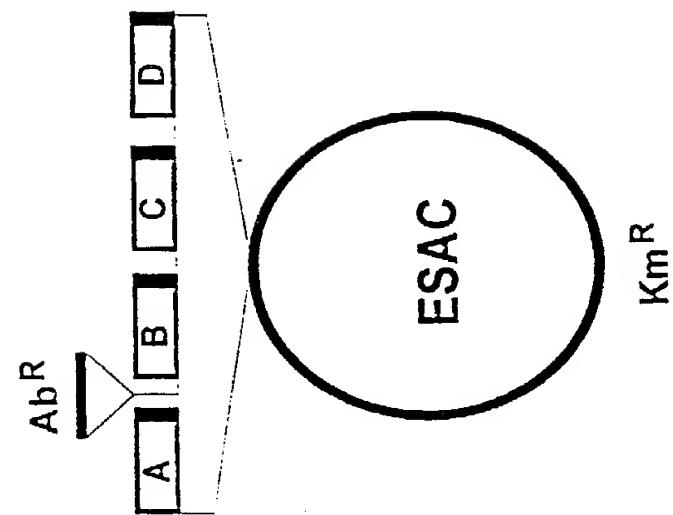
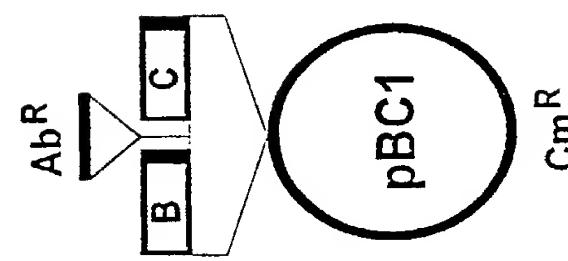
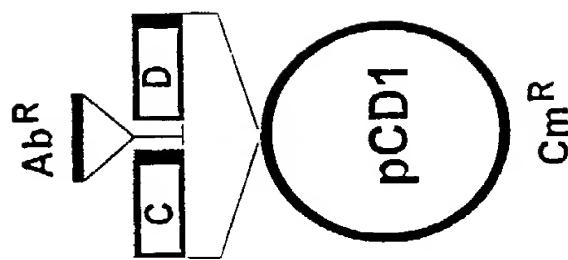


Fig. 6

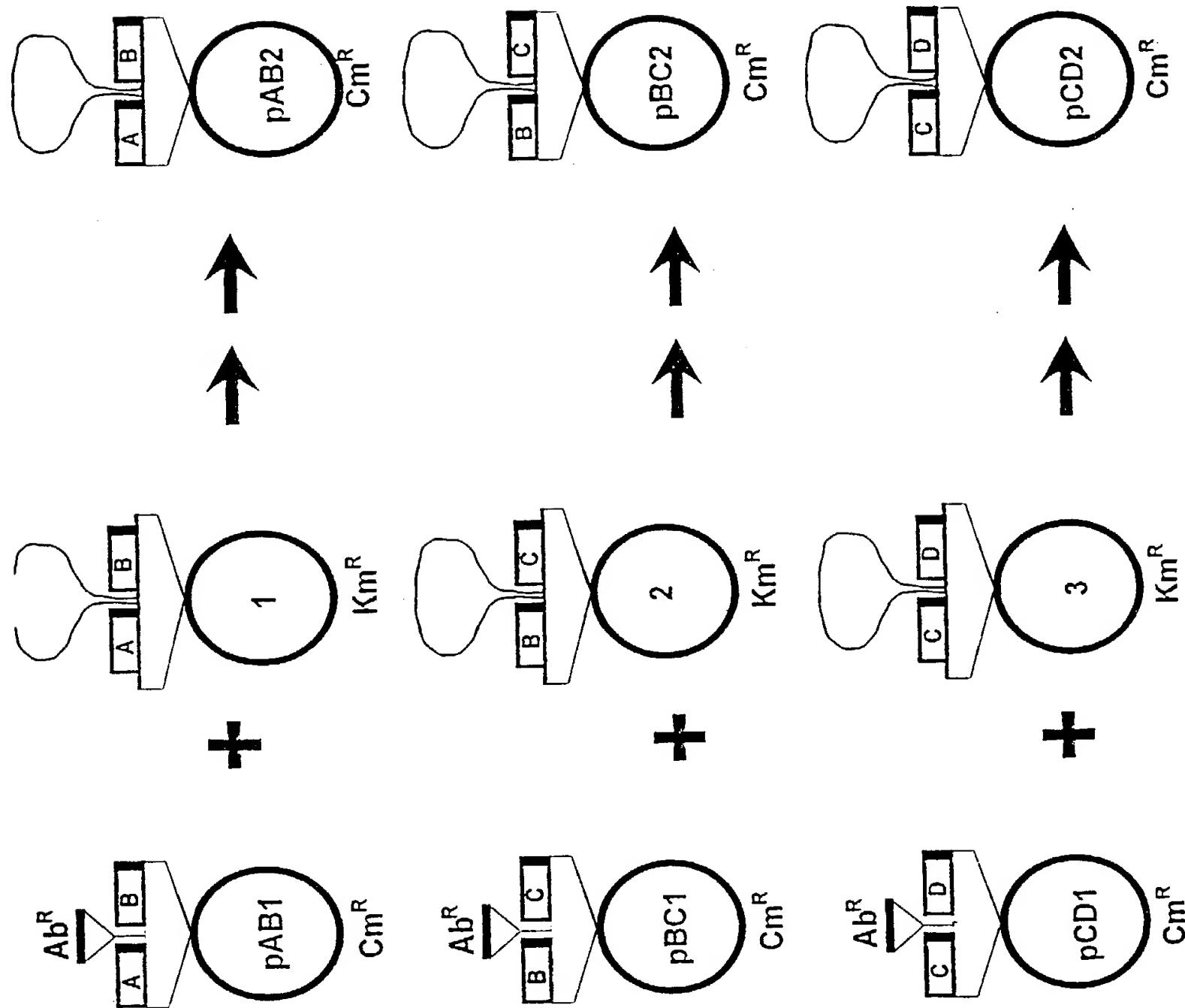


Fig. 7

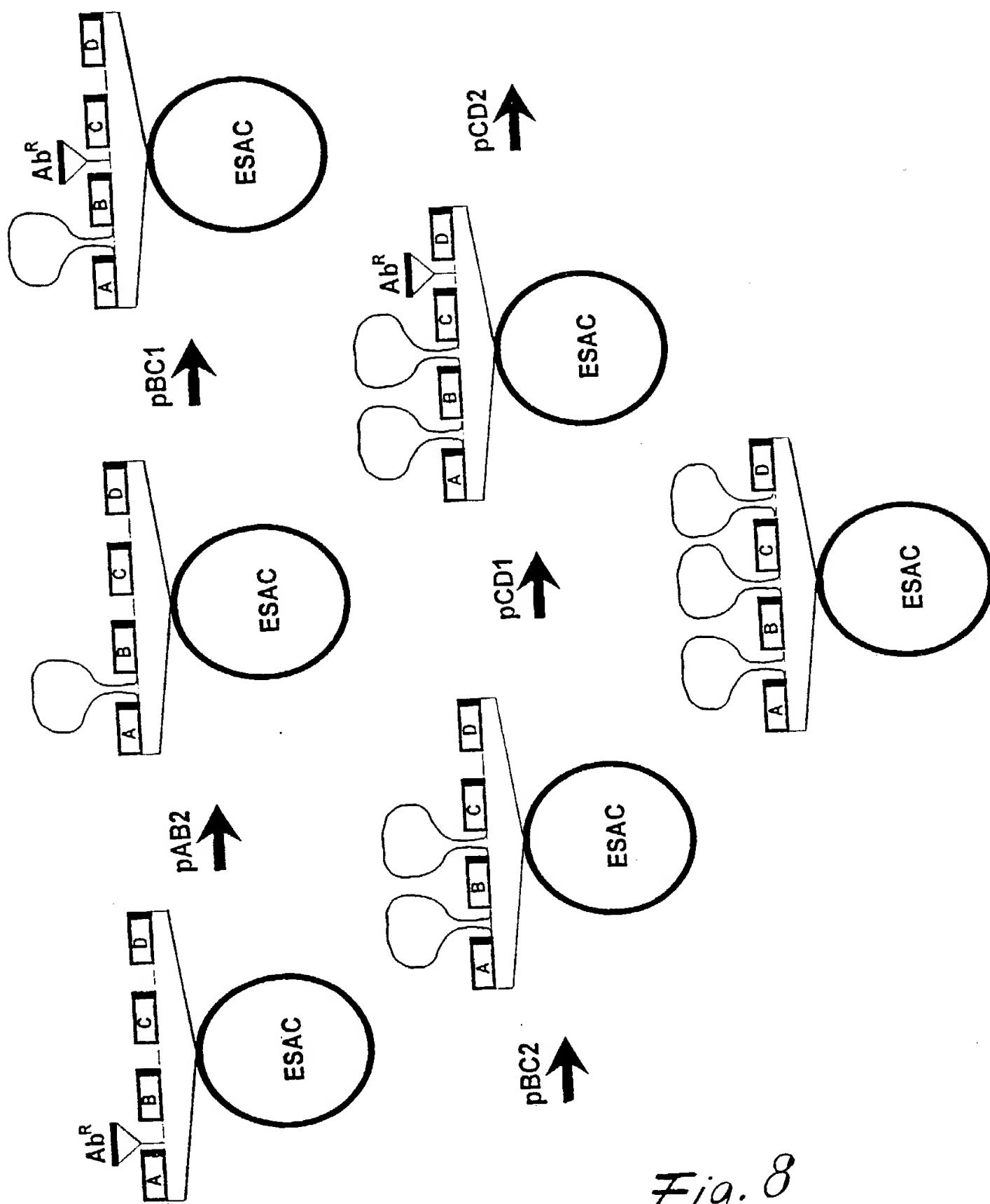


Fig. 8

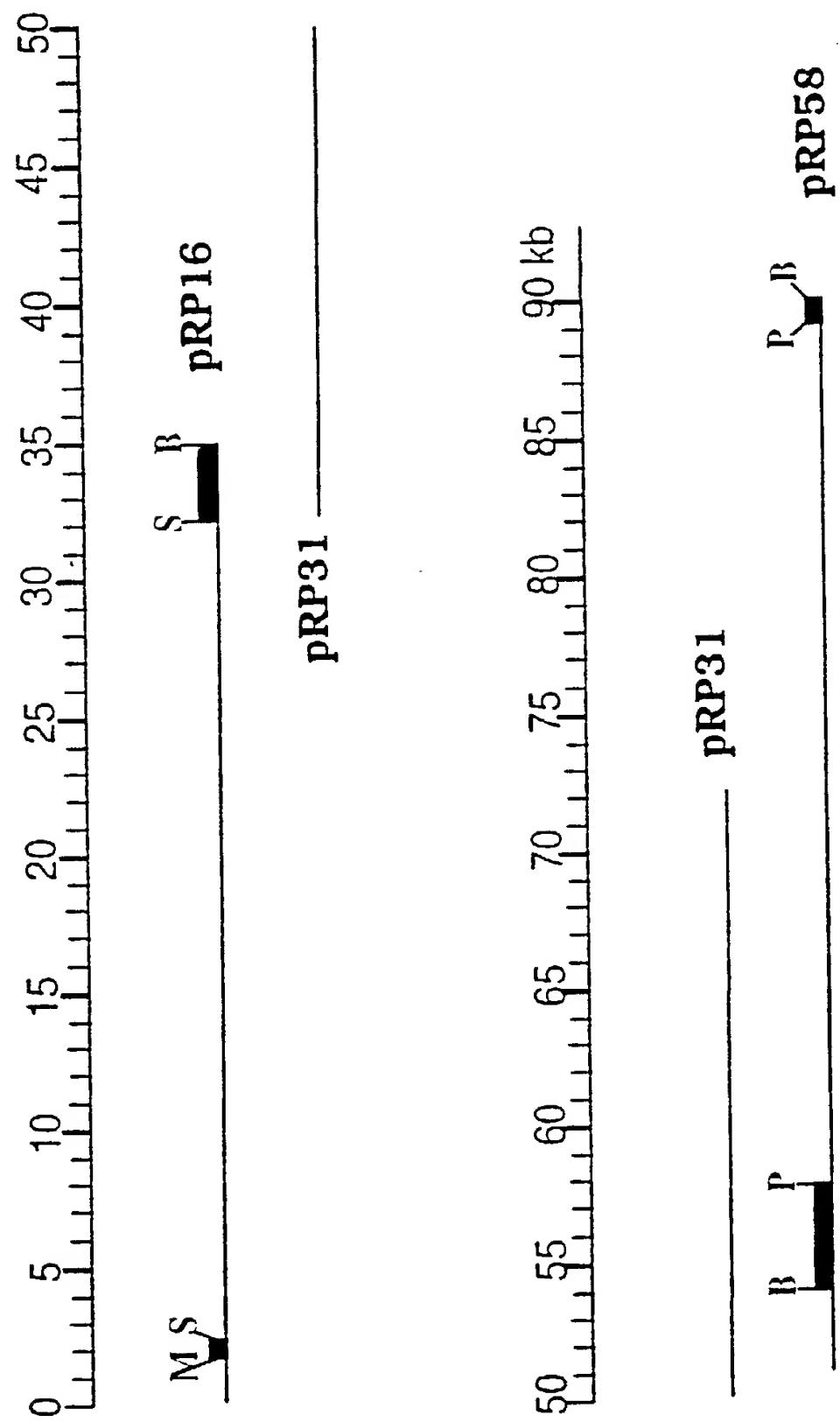


Fig. 9

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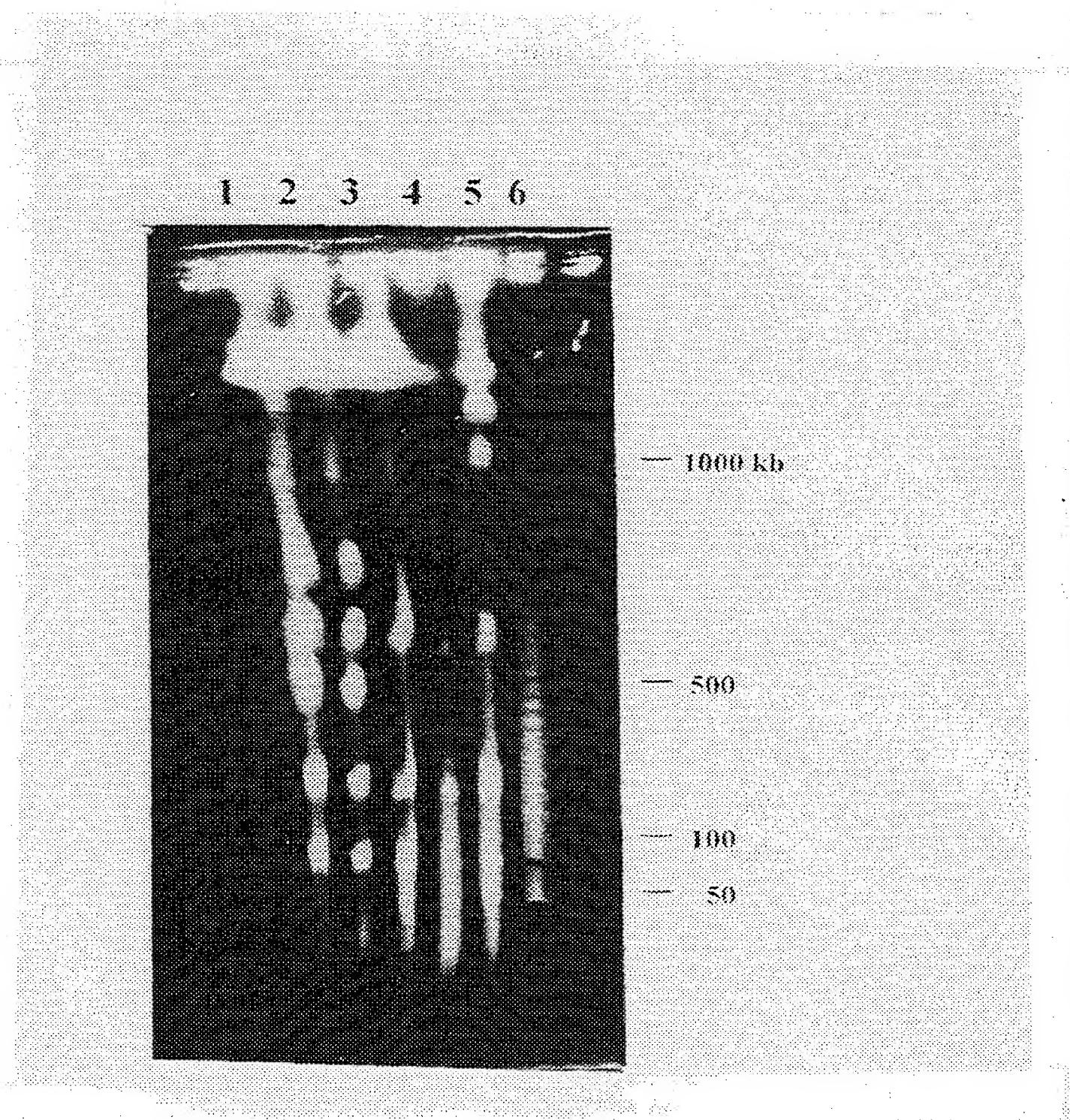


Fig. 10

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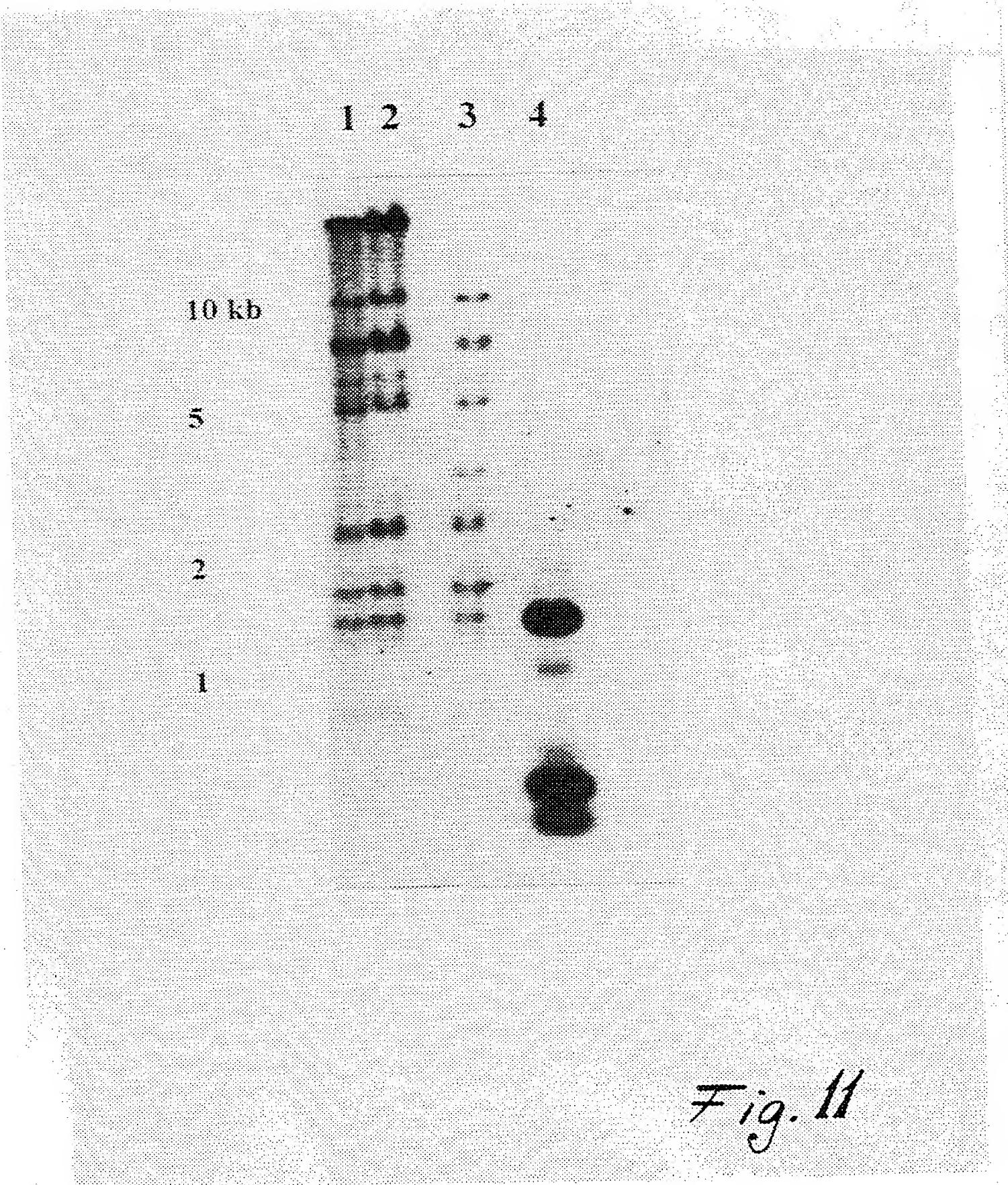


Fig. 11

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Declaration and Power of Attorney for Patent Application

Dichiarazione e procura ai fini della domanda di brevetto

Italian Language Declaration

Il sottoscritto inventore dichiara che:

La propria residenza, recapito postale e cittadinanza corrispondono a quanto indicato in calce, sotto la propria firma.

Ritiene di essere il primo ed unico inventore originale (se viene elencato in calce un solo nominativo) o il coinventore primo ed originale (se è elencato più di un nominativo) del oggetto rivendicato e per il quale il sottoscritto presenta domanda di brevetto. La invenzione in questione è chiamata:

e la sua descrizione è allegata alla presente Dichiarazione a meno:

è qui allegato

Il _____

è stata depositata una domanda di brevetto statunitense numero o una domanda di brevetto internazionale PCT numero

_____ che è stata modificata il

_____ (se applicabile).

Il sottoscritto dichiara inoltre di aver letto e compreso il contenuto della descrizione identificata in precedenza, rivendicazioni comprese, come modificati dall'eventuale modifica summenzionata.

Il sottoscritto riconosce l'obbligo di rivelare informazioni essenziali ai fini della determinazione della brevettabilità ai sensi del Titolo 37, Codice dei Regolamenti Federali, § 1.56.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR TRANSFERRING THE CAPABILITY TO
PRODUCE A NATURAL PRODUCT INTO A SUITABLE
PRODUCTION HOST

the specification of which:

is attached hereto.

was filed on June 14, 1999

as United States Application Number or PCT
International Application Number

PCT/EP99/04079

_____ and was amended on

_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Italian Language Declaration

Il sottoscritto rivendica con la presente la priorità prevista dal Titolo 35, Codice degli Stati Uniti, § 119(e)-(d) o § 365(b) in relazione a qualsiasi domanda o domande estere di brevetto o certificato di inventore, o dal Titolo 35, § 365(a) degli stessi Codice in relazione a qualsiasi domanda internazionale PCT nella quale è designato almeno un paese diverso dagli Stati Uniti, i suddetti domande e certificati essendo elencati sotto, e, spuntando le seguenti caselle, ha anche identificato sotto qualsiasi domanda estera di brevetto o certificato di inventore, o domanda internazionale PCT, la cui data di deposito preceda quella dalla domanda per la quale è rivendicata la priorità.

Prior Foreign Application(s)
(Domande Estere Anteriori)

| | |
|----------------------|------------------------|
| 98111506.6 | EPO |
| (Number) (Numero) | (Country) (Nazione) |
| C99107554.0 | |
| (Number) (Numero) | (Country) (Nazione) |

Il sottoscritto rivendica con la presente i benefici previsti dal Titolo 35, Codici degli Stati Uniti, § 119(e), in relazione a qualsiasi domanda o domande provvisorie degli Stati Uniti elencate sotto.

| | |
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| (Application No.) (Nº della domanda) | (Filing Date) (Data di deposito) |
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Il sottoscritto rivendica con la presente i benefici previsti dal Titolo 35, Codice degli Stati Uniti, § 120, in relazione a qualsiasi domanda o domande statunitensi, o dal Titolo 35, § 365(c) degli stessi Codice in relazione a qualsiasi domanda internazionale PCT nella quale sono designati gli Stati Uniti, i suddette domande essendo elencate sotto e, nella misura in cui l'oggetto di ciascuna rivendicazione di questa domanda non sia stato esposto nella domanda statunitense o internazionale PCT anteriore nel modo previsto dal primo paragrafo del Titolo 35, Codice degli Stati Uniti, § 112, riconosce l'obbligo di rivelare informazioni essenziali ai fini della determinazione della brevettabilità ai sensi del Titolo 37, Codici dei Regolamenti Federali, § 1.56, le quali diventino disponibili durante il periodo compreso tra la data di deposito della domanda anteriore e la data di deposito nazionale o internazionale PCT della presente domanda.

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| (Application No.) (Nº della domanda) | (Filing Date) (Data di deposito) |
|---|-------------------------------------|

Con la presente, il sottoscritto dichiara veritiero tutte le affermazioni contenute in questa domanda in relazione alle proprie conoscenze e di ritenere vere tutte le affermazioni o informazioni presentate. Dichiara inoltre che tali asserzioni sono state espresse nella piena consapevolezza che le dichiarazioni intenzionalmente false sono punibili con una prigione, l'incarcerazione o entrambe, ai sensi della Sezione 1001 del Titolo 18 del Codice degli Stati Uniti e che tali dichiarazioni intenzionalmente false possono mettere a repenaglio la validità della domanda o di qualsiasi brevetto riuscito in merito.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

| Priority claimed Diritto di priorità rivendicato | |
|---|---|
| 23 JUNE 1998 (Day/Month/Year Filed) (Giorno/Mese/Anno di deposito) | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Si <input type="checkbox"/> No <input type="checkbox"/> No |
| 15 APRIL 1999 (Day/Month/Year Filed) (Giorno/Mese/Anno di deposito) | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Si <input type="checkbox"/> No <input type="checkbox"/> No |

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

| | |
|---|-------------------------------------|
| (Application No.) (Nº della domanda) | (Filing Date) (Data di deposito) |
|---|-------------------------------------|

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

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| (Status) (patented, pending, abandoned) (Stato) (concessione di brevetto, in corso di esame, abbandono) |
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| (Status) (patented, pending, abandoned) (Stato) (concessione di brevetto, in corso di esame, abbandono) |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Italian Language Declaration

PROCURA: Il sootscritto inventore nomina con la presente il seguente avvocato o avvocati e/o agente o agenti al fine di istruire questa pratica e di condurre tutte le operazione ad essa pertinenti presso l'Ufficio dei Brevetti e Marchi di Fabbrica: (Elencare il nome ed il numero di matricola).

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

| | |
|----------------------|-----------------|
| Richard A. Killworth | Reg. No. 26,397 |
| James F. Gottman | Reg. No. 27,262 |
| Timothy W. Hagan | Reg. No. 29,001 |
| James E. Beyer | Reg. No. 39,564 |
| Susan M. Luna | Reg. No. 38,769 |
| Patricia L. Prior | Reg. No. 33,758 |
| William A. Jividen | Reg. No. 42,695 |
| Gregory J. Adams | Reg. No. 44,494 |
| Thomas E. Lees | Reg. No. 46,867 |
| John D. Reed | Reg. No. 46,506 |
| Brian L. Smiler | Reg. No. 46,458 |

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 Susan M. Luna
 937-223-2050

| | | |
|---|---|--|
| Nome e cognome dell'unico o del primo inventore <i>H. O.</i> | Full name of sole or first inventor <u>Stefano DONADIO</u> | |
| Firma dell'inventore | Data | Inventor's signature <i>Stefano Donadio</i> |
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| Cittadinanza | Citizenship <u>Italian</u> | |
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| <u>Magazzino 10000</u> | | |
| Nome e cognome dell'eventuale secondo coinventore <i>S. O.</i> | Full name of second joint inventor, if any <u>Margherita SOSIO</u> | |
| Firma del secondo coinventore | Data | Second inventor's signature <i>M. Sosio</i> |
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| Cittadinanza | Citizenship <u>Italian</u> | |
| Recapito postale | Post Office Address <u>same as above</u> | |
| <u>Magazzino 10000</u> | | |

(Fornire le stesse informazioni e le firme del terzo e degli ulteriori coinventori.)

(Supply similar information and signature for third and subsequent joint inventors)

Italian Language Declaration

| | |
|--|---|
| Nome per intero di un eventuale terzo co-inventore <i>3-00</i> | Full name of third joint inventor, if any <u>Francesco GIUSINO</u> |
| Firma del Terzo Inventore | Data <i>francesco giusino</i> 26/11/00 |
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| | Carmela CAPPELLANO |
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| Firma Quarto Inventore | Data <i>Carmela Cappellano</i> 1/12/00 |
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| Recapito postale | Post Office Address same as above |
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| Nome per intero di un eventuale quinto co-inventore <i>5-00</i> | Full name of fifth joint inventor, if any <u>Anna Maria PUGLIA</u> Dec. 11, 2000 |
| Firma Quinto Inventore | Data <i>Anna Maria Puglia</i> 20-11-00 |
| Residenza | Residence Via MAggiore Galliano, 18 - 90143 PALERMO IT |
| Cittadinanza | Citizenship Italian |
| Recapito postale | Post Office Address same as above |
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| Nome per intero di un eventuale sesto co-inventore | Full name of sixth joint inventor, if any |
| Firma del Sesto Inventore | Sixth inventor's signature |
| Residenza | Residence |
| Cittadinanza | Citizenship |
| Recapito postale | Post Office Address |
| | |

(Si prega di fornire simili informazioni e firme per il terzo e gli eventuali ulteriori co-inventori.)

(Supply similar information and signature for third and subsequent joint inventors.)

Applicant or Patentee: Donadio et al

Serial or Patent No.: _____ Atty. Dkt. No.: BIO 0753 PA

Filed or Issued:

For: METHODS FOR TRANSFERRING THE CAPABILITY TO PRODUCE A NATURAL PRODUCT INTO A SUITABLE PRODUCTION HOST

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

the owner of the small business concern identified below:
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN BIOSEARCH ITALIA S.p.A.
ADDRESS OF CONCERN Via R. Lepetit 34 - 21040 GERENZANO VA IT

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHODS FOR TRANSFERRING THE CAPABILITY TO PRODUCE A NATURAL PRODUCT INTO A SUITABLE PRODUCTION HOST, by inventor(s) Stefano DONADIO, Margherita SOSIO, Francesco GIUSINO, Carmela CAPPELLANO, Anna Maria PUGLIA

described in

the specification filed herewith
 application serial no. _____, filed _____
 patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Claudio QUARTA
TITLE OF PERSON OTHER THAN OWNER Managing Director
ADDRESS OF PERSON SIGNING Via R. Lepetit, 34 - 21040 GERENZANO VA IT

SIGNATURE Quarta DATE Nov. 24, 2000

SEQUENCE LISTING

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<120> Methods for transferring the capability to produce a natural product into a suitable production host

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WO 99/67374

PCT/EP99/04079

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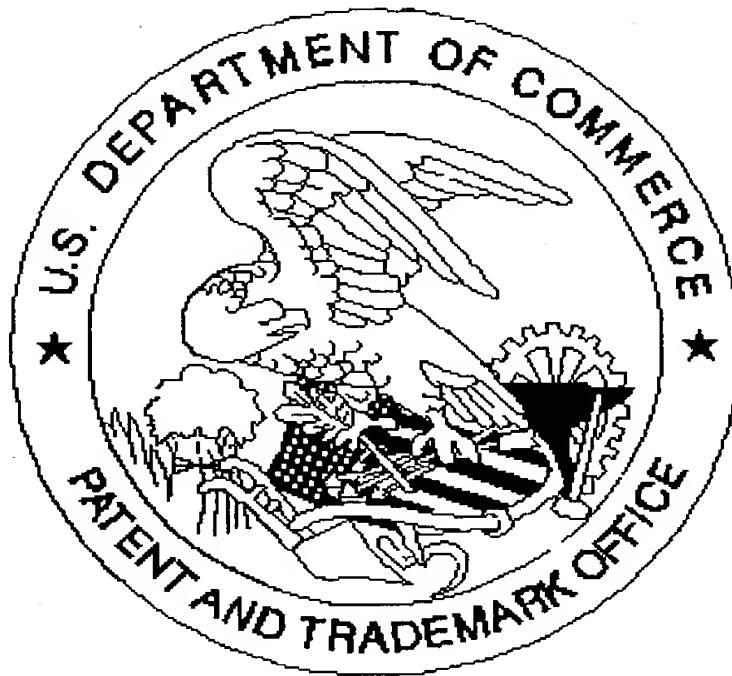
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